



## Data Element Definitions for State Health Enrollment File

### **Important:**

This Data Element Definition document does NOT describe the file structure for the State Health Enrollment Response File. Please refer to the appropriate XSD (XML Schema Definition) file, for the file structure and the Encryption Decryption External\_File Naming.pdf, for the file naming convention of the State Health Enrollment xml file. This Data Element Definition document is only intended to describe the data elements and relationships. Further information on how to use the documents and files included in the Technical Toolkit can be found in the Guide to the Technical Toolkit.

The State Agency: Health Enrollment Reporting File Table below provides the list of data fields that employers will be asked to provide CalPERS in the health enrollment process. The columns given below are intended to be used for the purpose of helping employers identify the information that must be submitted, and how the information should be formatted. Each column should be interpreted as follows:

- Data Element Number – The numerical designation that corresponds with data element in the same row
- Data Element Name – The plain-English name of the information that will be required in this field
- Description of Submitted Data – A longer, more detailed description of the field including explanation of submitted data, and any conditions under which the field must be populated
- R/O/C – Indicates if the information is required, optional, or conditional
  - 'R' indicates that the data is required for the field and an error will generate if the field is not populated
  - 'C' indicates that the data for that field is required when certain conditions are met based on values in another field. Applicable conditions are located in the column of this document titled Description. Information populated when not called for by a condition will be ignored. If data is missing in a Conditional field that required the data based on a condition, an error will be returned
  - 'O' indicates that the data is optional for that field. Information populated when not called for will be ignored. If data is missing in an Optional field, no error will be returned. The column titled Description indicates what format the optional data must be provided in. If optional data is provided that does not meet the specified format an error will result
  - Field Values – A list of the data that should be provided, if applicable, or the format that the field should be populated under
    - Except where noted, the data element cannot contain any of the following characters:

|                   |     |                   |   |
|-------------------|-----|-------------------|---|
| Asterisk          | *   | Grave             | ` |
| At sign           | @   | Greater than sign | > |
| Backslash         | \   | Less than sign    | < |
| Braces            | { } | Percent sign      | % |
| Brackets          | [ ] | Plus sign         | + |
| Caret             | ^   | Question mark     | ? |
| Dollar sign       | \$  | Quotation mark    | “ |
| Equal sign        | =   | Under score       | — |
| Exclamation point | !   | Vertical bar      |   |

- Max Length – The maximum number of characters that the field will accept

Appendix B of this document contains an analysis of the future fields in the Health Enrollment file, and their equivalent, if applicable, in the ACES system currently used today. Also included is a column labeled Change?, which states if a change in the current column is going to occur.

We do not anticipate significant changes to the file format however additional field values will be identified in the coming months. Please check the PERT webpage for the latest file format and review the information entitled *Known Inconsistencies in the Data Element Specifications* for data elements that are pending clarification through a formal change control process at this link:

<http://www.calpers.ca.gov/index.jsp?bc=/employer/pert/home.xml>

Also included on this website is the XML Schema Definition (XSD) that provides a sample XML data structure. Employer produced XML files must conform to the XSD in order to be considered valid. Employers will be able to use the schema to help develop or alter their systems to comply with the new standards in order to submit data files to CalPERS. XML tools are available on a variety of platforms to help IT developers create XML files that adhere to the CalPERS schema. The XML file is different from flat files that

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many employers send CalPERS today in that the information is organized in a hierarchical structure much like a standard outline. The XML Schema Definition, available at the link above, documents this report structure in detail. This document provides an indication of how the report fields are related to each other in the column titled data type. The following is an outline of the XML file structure:

- A. Subscriber Health Enrollment – For example, Person ID, Medical Plan, and Appointment ID
  - 1. Dependent – For example, Dependent First and Last Name, Dependent Address

The outline above can be repeated so there can be multiple dependents for a subscriber in a single file.

In addition to the XSD, a sample XML file will be provided in the fall of 2008. The sample output file can be used as a model for your agency as you produce test files.

XML technologies define an extensible messaging framework that provides a message construct that can be exchanged over a variety of underlying protocols. This framework is designed to be independent of any particular programming language, platform, and other technical criteria.

## Version History

| VER | DE# | DATA ELEMENT NAME   | DESCRIPTION OF CHANGE   |
|-----|-----|---|---|
|     |     | INTRODUCTION – Toolkit  | Updated Microsoft's Location/Link   |
| v3  | 17  | Appointment ID  | Changed Max Length from 16 to 10  |
| v3  | 19  | Person Identifier   | Changed Data Type from Integer to String<br>Changed Field Values from alphanumeric to digits (XXX to ###) |
| v3  | 22  | CBU   | Added Data Element  |
| v3  | 24  | First Name  | Changed Max Length from 30 to 20  |
| v3  | 26  | Last Name   | Changed Max Length from 20 to 30  |
| v3  | 33  | Health Eligibility ZIP Code   | Changed Data Type from Integer to String  |
| v3  | 40  | ZIP Code 5  | Changed Data Type from Integer to String  |
| v3  | 41  | ZIP Code 4  | Changed Data Type from Integer to String  |
| v3  | 44  | Postal Code   | Changed Max Length from 3 to 12   |
| v3  | 51  | Qualifying Person ID  | Changed Data Type from Integer to String<br>Changed Field Values from alphanumeric to digits (XXX to ###) |
| v3  | 54  | First Name  | Changed Max Length from 30 to 20  |
| v3  | 55  | Middle Name   | Changed Middle Name from 10 to 20   |
| v3  | 56  | Last Name   | Changed Last Name from 20 to 30   |
| v3  | 67  | Dependent Identifier  | Changed Data Type from Integer to String<br>Changed Field Values from alphanumeric to digits (XXX to ###) |
| v3  | 71  | Dependent First Name  | Changed Max Length from 30 to 20  |
| v3  | 73  | Dependent Last Name   | Changed Max Length from 20 to 30  |
| V4  |     | Appendix B – Comparison of<br>New Field Values to Legacy<br>(ACES) Field Values | Added CBU to the table  |

| VER | DE# | DATA ELEMENT NAME                   | DESCRIPTION OF CHANGE   |
|-----|-----|-------------------------------------|---|
| V5  | 30  | Address Type                        | Deleted Benefit Payment Address, Rollover 1 Address, Rollover 2 Address, IME Appointment Address, USPS Provided, and Third Party Provided codes |
| V5  | 45  | Phone Type                          | Updated codes and code values   |
| V5  | 61  | Affiliated Association              | Updated code values   |
| V5  | 62  | Medical Plan                        | Added clarifying language to the Field Values column  |
| V5  | 63  | Medical Group                       | Added clarifying language to the Field Values column  |
| V5  | 77  | Dependent Address Type              | Deleted Benefit Payment Address, Rollover 1 Address, Rollover 2 Address, IME Appointment Address, USPS Provided, and Third Party Provided codes |
| V5  | 88  | Dependent Relationship              | Modified the list of relationships available to report  |
| V5  |     | Appendix A.2 – Health Event Reasons | Modified the list of health event reasons   |
| V5  |     | Appendix A.3 – State Code Values    | Modified code value for Marshall Islands  |
| V5  |     | Appendix A.4 – Country Code Values  | Modified code values for countries  |
| V5  |     | Appendix A.5 – County Code Values   | Modified code values for counties   |
| V5  |     | Appendix A.6 – Permissive Events    | Modified list of permissive events  |
| V6  |     | Appendix A.6 – Permissive Events    | Added Health Event Reason code values to permissive events  |
| V6  | 17  | Appointment ID                      | Updated R/O/C column  |
| V6  |     | Appendix B - Prefix                 | Deleted field – (Prefix)  |

| VER | DE# | DATA ELEMENT NAME                 | DESCRIPTION OF CHANGE  |
|-----|-----|-----------------------------------|--|
| V6  |     | Appendix B - Address 3            | Deleted field – (Address 3)  |
| V6  |     | Appendix B - Phone Type           | Deleted field – (Phone Type)   |
| V6  |     | Appendix B - US Phone             | Deleted field – (US Phone)   |
| V6  |     | Appendix B - International Phone  | Deleted field – (International Phone)  |
| V6  |     | Appendix B - Extension            | Deleted field – (Extension)  |
| V6  |     | Appendix B - Email                | Deleted field – (Email)  |
| V6  |     | Appendix B - Dependent Prefix     | Deleted field – (Dependent Prefix)   |
| V6  |     | Appendix B - Medical Group        | Deleted field – (Medical Group)  |
| V7  | 65  | Dependent Suffix                  | Updated to indicate this data element is optional  |
| V7  | 72  | Dependent City                    | Updated condition when required  |
| V7  | 73  | Dependent State                   | Updated DESCRIPTION OF SUBMITTED DATA to clarify Dependent State pertains to Dependent address.              |
| V7  | 74  | Dependent ZIP Code 5              | Updated DESCRIPTION OF SUBMITTED DATA to clarify Dependent ZIP Code 5 pertains to Dependent address.         |
| V7  | 76  | Dependent Country                 | Updated DESCRIPTION OF SUBMITTED DATA to clarify Dependent Country pertains to Dependent address.            |
| V7  | 77  | Dependent Province/Territory      | Updated DESCRIPTION OF SUBMITTED DATA to clarify Dependent Province/Territory pertains to Dependent address. |
| V7  | 78  | Dependent Postal Code             | Updated DESCRIPTION OF SUBMITTED DATA to clarify Dependent Postal Code pertains to Dependent address.        |
| V7  | 72  | Dependent City                    | Updated DESCRIPTION OF SUBMITTED DATA to clarify Dependent City pertains to Dependent address.               |
| V7  |     | Appendix A, Section 2 & Section 6 | Updated Health Event Reason Code table to include new events/codes.  |
| V7  | 36  | City                              | Updated condition when required.   |

| VER | DE# | DATA ELEMENT NAME                | DESCRIPTION OF CHANGE   |
|-----|-----|----------------------------------|---|
| V7  | 41  | Province/Territory               | Updated condition when required.  |
| V7  | 42  | Postal Code                      | Updated condition when required.  |
| V8  |     | All with Boolean values          | Updated Field Values column to indicate true false values in all lower case.                                |
| V8  |     | Cover Page                       | Added note regarding Encryption Decryption External_File Naming.pdf for naming convention of this xml file. |
| V8  | 12  | Rescind Notes                    | Changed from Optional to Conditional and added conditions.  |
| V8  | 13  | Agency Code                      | Updated condition indicating when required  |
| V8  | 14  | Subscriber Status FERP           | Updated condition indicating when required  |
| V8  | 15  | FERP Status Begin Date           | Updated condition indicating when required  |
| V8  | 16  | FERP Status End Date             | Updated condition indicating when required  |
| V8  | 29  | Address Type                     | Changed condition for COBRA New Enrollment  |
| V8  | 30  | Use Address for Health           | Changed condition for COBRA New Enrollment  |
| V8  | 31  | Health Eligibility ZIP Code Type | Changed condition for COBRA New Enrollment  |
| V8  | 32  | Health Eligibility ZIP Code      | Changed condition for COBRA New Enrollment  |
| V8  | 33  | County                           | Changed condition for COBRA New Enrollment  |
| V8  | 34  | Address 1                        | Changed condition for COBRA New Enrollment  |
| V8  | 41  | Province/Territory               | Updated condition indicating when required  |
| V8  | 42  | Postal Code                      | Updated condition indicating when required  |
| V8  | 43  | Qualifying Person ID Type        | Updated condition indicating when required  |
| V8  | 44  | Qualifying Person ID             | Updated condition indicating when required  |
| V8  | 45  | Permanent Separation Date        | Updated condition indicating when required  |



| VER | DE# | DATA ELEMENT NAME                  | DESCRIPTION OF CHANGE                                     |
|-----|-----|------------------------------------|---|
| V8  | 47  | First Name (Qualifying person)     | Changed from Required to Conditional and added conditions |
| V*  | 49  | Last Name (Qualifying person)      | Changed from Required to Conditional and added conditions |
| V8  | 50  | Gender                             | Updated condition indicating when required                |
| V8  | 51  | Birth Date                         | Updated condition indicating when required                |
| V8  | 52  | Eligibility Basis                  | Changed name to indicate COBRA Eligibility Basis          |
| V8  | 54  | Affiliated Association             | Updated condition indicating when required                |
| V8  | 55  | Medical Plan Selection             | Updated condition indicating when required                |
| V8  | 58  | Dependent Identifier Type          | Updated condition indicating when required                |
| V8  | 59  | Dependent Identifier               | Updated condition indicating when required                |
| V8  | 60  | Dependent Gender                   | Updated condition indicating when required                |
| V8  | 61  | Dependent DOB                      | Updated condition indicating when required                |
| V8  | 62  | Dependent First Name               | Updated condition indicating when required                |
| V8  | 64  | Dependent Last Name                | Updated condition indicating when required                |
| V8  | 67  | Address Same as Primary Subscriber | Changed condition for COBRA New Enrollment                |
| V8  | 68  | Dependent Address Type             | Updated condition indicating when required                |
| V8  | 69  | Dependent Address 1                | Updated condition indicating when required                |
| V8  | 80  | Dependent Type                     | Changed condition for COBRA New Enrollment                |
| V8  |     | Appendix A, Sec 4                  | Updated Country Code table                                |

**State - Health Enrollment Reporting File Table**

| #                               | DATA ELEMENT NAME           | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES | MAX LENGTH | LEGACY (ACES) FIELD VALUES   |           |            |                                 |                             |
|---------------------------------|-----------------------------|---|-------|--------------|------------|--|-----------|------------|---------------------------------|-----------------------------|
| 1                               | Employer's CalPERS ID       | <p><b>Description:</b><br/>The CalPERS ID is a unique 10- digit identifier created by the new system</p> <p><b>Explanation:</b><br/>The new system will create this unique identifier. This unique identifier replaces the Employer/Unit Code.</p> <ul style="list-style-type: none"><li>• If the County Office of Education (COE) reports for a school district, use the school district's CalPERS ID.</li><li>• If the school district reports itself, use the school district's CalPERS ID.</li><li>• If the COE reports on behalf of COE employees, use the COE's CalPERS ID.</li></ul> | R     | #####        | 10         | <table><tr><th>LONG NAME</th><th>CODE VALUE</th></tr><tr><td>CalPERS Employer Code/Unit Code</td><td>#### - ### code as assigned</td></tr></table> | LONG NAME | CODE VALUE | CalPERS Employer Code/Unit Code | #### - ### code as assigned |
| LONG NAME                       | CODE VALUE                  |   |       |              |            |  |           |            |                                 |                             |
| CalPERS Employer Code/Unit Code | #### - ### code as assigned |   |       |              |            |  |           |            |                                 |                             |

| # | DATA<br>ELEMENT<br>NAME | DESCRIPTION OF<br>SUBMITTED DATA  | R/O/C | FIELD VALUES | MAX<br>LENGTH | LEGACY (ACES)<br>FIELD VALUES |
|---|-------------------------|---|-------|--------------|---------------|-------------------------------|
|   |                         | <b>Required:</b><br>This data is required<br><br><b>Note:</b><br>No notable information |       |              |               |                               |

| #                              | DATA ELEMENT NAME | DESCRIPTION OF SUBMITTED DATA  | R/O/C | FIELD VALUES  |           | MAX LENGTH  | LEGACY (ACES) FIELD VALUES |     |                  |     |                 |     |                    |     |                          |     |                               |     |                |     |                 |     |                      |     |                   |     |                      |     |   |   |           |             |                |   |               |   |                  |   |                    |   |                 |   |                      |   |                               |   |                |   |                                |   |                               |    |                           |    |                       |    |                      |    |                      |    |
|--------------------------------|-------------------|--|-------|---|-----------|-------------|----------------------------|-----|------------------|-----|-----------------|-----|--------------------|-----|--------------------------|-----|-------------------------------|-----|----------------|-----|-----------------|-----|----------------------|-----|-------------------|-----|----------------------|-----|---|---|-----------|-------------|----------------|---|---------------|---|------------------|---|--------------------|---|-----------------|---|----------------------|---|-------------------------------|---|----------------|---|--------------------------------|---|-------------------------------|----|---------------------------|----|-----------------------|----|----------------------|----|----------------------|----|
| 2                              | Health Event Type | <p><b>Description:</b><br/>The health event type</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required:</b><br/>This data is required</p> <p><b>Note:</b><br/>No notable information</p> | R     | <table><thead><tr><th>LONG NAME</th><th>CODE VALUES</th></tr></thead><tbody><tr><td>Add Dependent</td><td>ADP</td></tr><tr><td>Delete Dependent</td><td>DDP</td></tr><tr><td>Cancel Coverage</td><td>CCO</td></tr><tr><td>Change Health Plan</td><td>CHP</td></tr><tr><td>Dependent Address Change</td><td>DEC</td></tr><tr><td>Change Premium Payment Method</td><td>CPP</td></tr><tr><td>New Enrollment</td><td>NEN</td></tr><tr><td>Open Enrollment</td><td>OEN</td></tr><tr><td>Continued Enrollment</td><td>COE</td></tr><tr><td>Update Enrollment</td><td>UEN</td></tr><tr><td>COBRA New Enrollment</td><td>CNE</td></tr></tbody></table> <p>For descriptions of Health Event Types, please see Appendix A, Section 1</p> | LONG NAME | CODE VALUES | Add Dependent              | ADP | Delete Dependent | DDP | Cancel Coverage | CCO | Change Health Plan | CHP | Dependent Address Change | DEC | Change Premium Payment Method | CPP | New Enrollment | NEN | Open Enrollment | OEN | Continued Enrollment | COE | Update Enrollment | UEN | COBRA New Enrollment | CNE | 3 | <table><thead><tr><th>LONG NAME</th><th>CODE VALUES</th></tr></thead><tbody><tr><td>New Enrollment</td><td>1</td></tr><tr><td>Add Dependent</td><td>2</td></tr><tr><td>Delete Dependent</td><td>3</td></tr><tr><td>Change Health Plan</td><td>4</td></tr><tr><td>Cancel Coverage</td><td>5</td></tr><tr><td>Change Coverage Type</td><td>6</td></tr><tr><td>Change Premium Payment Method</td><td>7</td></tr><tr><td>Change Address</td><td>8</td></tr><tr><td>Change Subscriber Demographics</td><td>9</td></tr><tr><td>Change Dependent Demographics</td><td>10</td></tr><tr><td>Change Appointment Status</td><td>12</td></tr><tr><td>Change Coverage Group</td><td>13</td></tr><tr><td>Change Medical Group</td><td>14</td></tr><tr><td>COBRA New Enrollment</td><td>17</td></tr></tbody></table> | LONG NAME | CODE VALUES | New Enrollment | 1 | Add Dependent | 2 | Delete Dependent | 3 | Change Health Plan | 4 | Cancel Coverage | 5 | Change Coverage Type | 6 | Change Premium Payment Method | 7 | Change Address | 8 | Change Subscriber Demographics | 9 | Change Dependent Demographics | 10 | Change Appointment Status | 12 | Change Coverage Group | 13 | Change Medical Group | 14 | COBRA New Enrollment | 17 |
| LONG NAME                      | CODE VALUES       |  |       |   |           |             |                            |     |                  |     |                 |     |                    |     |                          |     |                               |     |                |     |                 |     |                      |     |                   |     |                      |     |   |   |           |             |                |   |               |   |                  |   |                    |   |                 |   |                      |   |                               |   |                |   |                                |   |                               |    |                           |    |                       |    |                      |    |                      |    |
| Add Dependent                  | ADP               |  |       |   |           |             |                            |     |                  |     |                 |     |                    |     |                          |     |                               |     |                |     |                 |     |                      |     |                   |     |                      |     |   |   |           |             |                |   |               |   |                  |   |                    |   |                 |   |                      |   |                               |   |                |   |                                |   |                               |    |                           |    |                       |    |                      |    |                      |    |
| Delete Dependent               | DDP               |  |       |   |           |             |                            |     |                  |     |                 |     |                    |     |                          |     |                               |     |                |     |                 |     |                      |     |                   |     |                      |     |   |   |           |             |                |   |               |   |                  |   |                    |   |                 |   |                      |   |                               |   |                |   |                                |   |                               |    |                           |    |                       |    |                      |    |                      |    |
| Cancel Coverage                | CCO               |  |       |   |           |             |                            |     |                  |     |                 |     |                    |     |                          |     |                               |     |                |     |                 |     |                      |     |                   |     |                      |     |   |   |           |             |                |   |               |   |                  |   |                    |   |                 |   |                      |   |                               |   |                |   |                                |   |                               |    |                           |    |                       |    |                      |    |                      |    |
| Change Health Plan             | CHP               |  |       |   |           |             |                            |     |                  |     |                 |     |                    |     |                          |     |                               |     |                |     |                 |     |                      |     |                   |     |                      |     |   |   |           |             |                |   |               |   |                  |   |                    |   |                 |   |                      |   |                               |   |                |   |                                |   |                               |    |                           |    |                       |    |                      |    |                      |    |
| Dependent Address Change       | DEC               |  |       |   |           |             |                            |     |                  |     |                 |     |                    |     |                          |     |                               |     |                |     |                 |     |                      |     |                   |     |                      |     |   |   |           |             |                |   |               |   |                  |   |                    |   |                 |   |                      |   |                               |   |                |   |                                |   |                               |    |                           |    |                       |    |                      |    |                      |    |
| Change Premium Payment Method  | CPP               |  |       |   |           |             |                            |     |                  |     |                 |     |                    |     |                          |     |                               |     |                |     |                 |     |                      |     |                   |     |                      |     |   |   |           |             |                |   |               |   |                  |   |                    |   |                 |   |                      |   |                               |   |                |   |                                |   |                               |    |                           |    |                       |    |                      |    |                      |    |
| New Enrollment                 | NEN               |  |       |   |           |             |                            |     |                  |     |                 |     |                    |     |                          |     |                               |     |                |     |                 |     |                      |     |                   |     |                      |     |   |   |           |             |                |   |               |   |                  |   |                    |   |                 |   |                      |   |                               |   |                |   |                                |   |                               |    |                           |    |                       |    |                      |    |                      |    |
| Open Enrollment                | OEN               |  |       |   |           |             |                            |     |                  |     |                 |     |                    |     |                          |     |                               |     |                |     |                 |     |                      |     |                   |     |                      |     |   |   |           |             |                |   |               |   |                  |   |                    |   |                 |   |                      |   |                               |   |                |   |                                |   |                               |    |                           |    |                       |    |                      |    |                      |    |
| Continued Enrollment           | COE               |  |       |   |           |             |                            |     |                  |     |                 |     |                    |     |                          |     |                               |     |                |     |                 |     |                      |     |                   |     |                      |     |   |   |           |             |                |   |               |   |                  |   |                    |   |                 |   |                      |   |                               |   |                |   |                                |   |                               |    |                           |    |                       |    |                      |    |                      |    |
| Update Enrollment              | UEN               |  |       |   |           |             |                            |     |                  |     |                 |     |                    |     |                          |     |                               |     |                |     |                 |     |                      |     |                   |     |                      |     |   |   |           |             |                |   |               |   |                  |   |                    |   |                 |   |                      |   |                               |   |                |   |                                |   |                               |    |                           |    |                       |    |                      |    |                      |    |
| COBRA New Enrollment           | CNE               |  |       |   |           |             |                            |     |                  |     |                 |     |                    |     |                          |     |                               |     |                |     |                 |     |                      |     |                   |     |                      |     |   |   |           |             |                |   |               |   |                  |   |                    |   |                 |   |                      |   |                               |   |                |   |                                |   |                               |    |                           |    |                       |    |                      |    |                      |    |
| LONG NAME                      | CODE VALUES       |  |       |   |           |             |                            |     |                  |     |                 |     |                    |     |                          |     |                               |     |                |     |                 |     |                      |     |                   |     |                      |     |   |   |           |             |                |   |               |   |                  |   |                    |   |                 |   |                      |   |                               |   |                |   |                                |   |                               |    |                           |    |                       |    |                      |    |                      |    |
| New Enrollment                 | 1                 |  |       |   |           |             |                            |     |                  |     |                 |     |                    |     |                          |     |                               |     |                |     |                 |     |                      |     |                   |     |                      |     |   |   |           |             |                |   |               |   |                  |   |                    |   |                 |   |                      |   |                               |   |                |   |                                |   |                               |    |                           |    |                       |    |                      |    |                      |    |
| Add Dependent                  | 2                 |  |       |   |           |             |                            |     |                  |     |                 |     |                    |     |                          |     |                               |     |                |     |                 |     |                      |     |                   |     |                      |     |   |   |           |             |                |   |               |   |                  |   |                    |   |                 |   |                      |   |                               |   |                |   |                                |   |                               |    |                           |    |                       |    |                      |    |                      |    |
| Delete Dependent               | 3                 |  |       |   |           |             |                            |     |                  |     |                 |     |                    |     |                          |     |                               |     |                |     |                 |     |                      |     |                   |     |                      |     |   |   |           |             |                |   |               |   |                  |   |                    |   |                 |   |                      |   |                               |   |                |   |                                |   |                               |    |                           |    |                       |    |                      |    |                      |    |
| Change Health Plan             | 4                 |  |       |   |           |             |                            |     |                  |     |                 |     |                    |     |                          |     |                               |     |                |     |                 |     |                      |     |                   |     |                      |     |   |   |           |             |                |   |               |   |                  |   |                    |   |                 |   |                      |   |                               |   |                |   |                                |   |                               |    |                           |    |                       |    |                      |    |                      |    |
| Cancel Coverage                | 5                 |  |       |   |           |             |                            |     |                  |     |                 |     |                    |     |                          |     |                               |     |                |     |                 |     |                      |     |                   |     |                      |     |   |   |           |             |                |   |               |   |                  |   |                    |   |                 |   |                      |   |                               |   |                |   |                                |   |                               |    |                           |    |                       |    |                      |    |                      |    |
| Change Coverage Type           | 6                 |  |       |   |           |             |                            |     |                  |     |                 |     |                    |     |                          |     |                               |     |                |     |                 |     |                      |     |                   |     |                      |     |   |   |           |             |                |   |               |   |                  |   |                    |   |                 |   |                      |   |                               |   |                |   |                                |   |                               |    |                           |    |                       |    |                      |    |                      |    |
| Change Premium Payment Method  | 7                 |  |       |   |           |             |                            |     |                  |     |                 |     |                    |     |                          |     |                               |     |                |     |                 |     |                      |     |                   |     |                      |     |   |   |           |             |                |   |               |   |                  |   |                    |   |                 |   |                      |   |                               |   |                |   |                                |   |                               |    |                           |    |                       |    |                      |    |                      |    |
| Change Address                 | 8                 |  |       |   |           |             |                            |     |                  |     |                 |     |                    |     |                          |     |                               |     |                |     |                 |     |                      |     |                   |     |                      |     |   |   |           |             |                |   |               |   |                  |   |                    |   |                 |   |                      |   |                               |   |                |   |                                |   |                               |    |                           |    |                       |    |                      |    |                      |    |
| Change Subscriber Demographics | 9                 |  |       |   |           |             |                            |     |                  |     |                 |     |                    |     |                          |     |                               |     |                |     |                 |     |                      |     |                   |     |                      |     |   |   |           |             |                |   |               |   |                  |   |                    |   |                 |   |                      |   |                               |   |                |   |                                |   |                               |    |                           |    |                       |    |                      |    |                      |    |
| Change Dependent Demographics  | 10                |  |       |   |           |             |                            |     |                  |     |                 |     |                    |     |                          |     |                               |     |                |     |                 |     |                      |     |                   |     |                      |     |   |   |           |             |                |   |               |   |                  |   |                    |   |                 |   |                      |   |                               |   |                |   |                                |   |                               |    |                           |    |                       |    |                      |    |                      |    |
| Change Appointment Status      | 12                |  |       |   |           |             |                            |     |                  |     |                 |     |                    |     |                          |     |                               |     |                |     |                 |     |                      |     |                   |     |                      |     |   |   |           |             |                |   |               |   |                  |   |                    |   |                 |   |                      |   |                               |   |                |   |                                |   |                               |    |                           |    |                       |    |                      |    |                      |    |
| Change Coverage Group          | 13                |  |       |   |           |             |                            |     |                  |     |                 |     |                    |     |                          |     |                               |     |                |     |                 |     |                      |     |                   |     |                      |     |   |   |           |             |                |   |               |   |                  |   |                    |   |                 |   |                      |   |                               |   |                |   |                                |   |                               |    |                           |    |                       |    |                      |    |                      |    |
| Change Medical Group           | 14                |  |       |   |           |             |                            |     |                  |     |                 |     |                    |     |                          |     |                               |     |                |     |                 |     |                      |     |                   |     |                      |     |   |   |           |             |                |   |               |   |                  |   |                    |   |                 |   |                      |   |                               |   |                |   |                                |   |                               |    |                           |    |                       |    |                      |    |                      |    |
| COBRA New Enrollment           | 17                |  |       |   |           |             |                            |     |                  |     |                 |     |                    |     |                          |     |                               |     |                |     |                 |     |                      |     |                   |     |                      |     |   |   |           |             |                |   |               |   |                  |   |                    |   |                 |   |                      |   |                               |   |                |   |                                |   |                               |    |                           |    |                       |    |                      |    |                      |    |

| # | DATA ELEMENT NAME             | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES                         | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|---|-------------------------------|---|-------|--------------------------------------|------------|----------------------------|
| 3 | Health Event Reason           | <p><b>Description:</b><br/>The reason for health enrollment. These are categorized by Health Event Types</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required:</b><br/>This data is required</p> <p><b>Note:</b><br/>No notable information</p>  | R     | See Appendix A, Section 2            | 3          | No Difference              |
| 4 | Unique Transaction Identifier | <p><b>Description:</b><br/>The Unique Transaction Identifier is a memo field to report text for tracking purposes</p> <p><b>Explanation:</b><br/>Employers uploading files can use this field to record a text memo for tracking purposes</p> <p><b>Required</b> if the file is sent using FTP. It is</p> | C     | xxxxxxxx-xxxx-xxxx-xxxx-xxxxxxxxxxxx | 36         | No Difference              |

| # | DATA ELEMENT NAME | DESCRIPTION OF SUBMITTED DATA  | R/O/C | FIELD VALUES | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|---|-------------------|--|-------|--------------|------------|----------------------------|
|   |                   | <p>optional for File Upload</p> <p><b>Note:</b><br/>For employers who upload files, this field can be used as a free-text memo for tracking purposes. This is not required for successful submission of the file.</p> <p>For FTP-based submissions, CalPERS will return the universally unique identifier (UUID) provided by the employer, with each transaction's success of failure.</p> <p>Employers, who choose this integration style, must be able to programmatically match the UUIDs on the CalPERS response, with the transaction submitted to CalPERS, on the input file. This</p> |       |              |            |                            |

| # | DATA ELEMENT NAME | DESCRIPTION OF SUBMITTED DATA  | R/O/C | FIELD VALUES | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|---|-------------------|--|-------|--------------|------------|----------------------------|
|   |                   | number must be created by a UUID generator   |       |              |            |                            |
| 5 | Event Date        | <b>Description:</b><br>The date the health event occurred<br><br><b>Explanation:</b><br>See description<br><br><b>Required</b> for all Health Event Types except for Open Enrollment<br><br><b>Note:</b><br>No notable information | C     | yyyy-mm-dd   | 10         | No Difference              |
| 6 | Received Date     | <b>Description:</b><br>The date the employer was notified of the health event<br><br><b>Explanation:</b><br>See description<br><br><b>Required</b> for all Health Event Types except Update Enrollment<br><br><b>Note:</b>         | C     | yyyy-mm-dd   | 10         | No Difference              |

| # | DATA ELEMENT NAME       | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES   | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|---|-------------------------|---|-------|--|------------|----------------------------|
|   |                         | No notable information  |       |  |            |                            |
| 7 | Apply Change To Medical | <p><b>Description:</b><br/>Indicates that the change/enrollment applies to the medical benefit</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required</b> for all Health Event Types, except for Change Dependent Address</p> <p><b>Note:</b><br/>No notable information</p> | C     | true / false<br>(Must be entered in xml as all lower case) | 5          | No Current Equivalent      |



| # | DATA ELEMENT NAME   | DESCRIPTION OF SUBMITTED DATA  | R/O/C | FIELD VALUES   | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|---|---|--|-------|--|------------|----------------------------|
| 8 | Apply Change To Dental<br>(placeholder data element for future legislation) | <p><b>Description:</b><br/>If dental becomes an option in the future, this data element indicates the change/enrollment applies to the dental benefit</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required</b><br/>At least one (Apply Change to Medical, Apply Change to Dental, or Apply Change to Vision) is required for all Health Event Types, except for Dependent Address Change</p> <p><b>Note:</b><br/>This element is not currently needed and is indicated here only as a placeholder for future legislation.</p> | C     | <p>true / false<br/>(Must be entered in xml as all lower case)</p> <p>This element is not currently needed and is indicated here only as a placeholder for future legislation.</p> | 5          | No Current Equivalent      |

| # | DATA ELEMENT NAME   | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES   | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|---|---|---|-------|--|------------|----------------------------|
| 9 | Apply Change To Vision<br>(placeholder data element for future legislation) | <p><b>Description:</b><br/>If vision becomes an option in the future, this data element indicates the change/enrollment applies to vision benefit</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required:</b><br/>At least one (Apply Change to Medical, Apply Change to Dental, or Apply Change to Vision) is required for all Health Event Types, except for Change Dependent Address for all Health Event Types, except for Dependent Address Change</p> <p><b>Note:</b><br/>This element is not currently needed and is indicated here only as a placeholder for future legislation.</p> | C     | <p>true / false<br/>(Must be entered in xml as all lower case)</p> <p>This element is not currently needed and is indicated here only as a placeholder for future legislation.</p> | 5          | No Current Equivalent      |

| #  | DATA ELEMENT NAME | DESCRIPTION OF SUBMITTED DATA  | R/O/C | FIELD VALUES   | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|-------------------|--|-------|--|------------|----------------------------|
| 10 | Rescind Indicator | <p><b>Description:</b><br/>Indicates whether a health enrollment transaction, with a future date, should be rescinded</p> <p><b>Explanation:</b><br/>Employers will have the ability to rescind future-dated, permissive, health enrollment reasons. For a list of the permissive health event reasons, please see Appendix A, Section 6</p> <p><b>Required:</b><br/>No required data</p> <p><b>Note:</b><br/>No notable information</p> | O     | true / false<br>(Must be entered in xml as all lower case) | 5          | No Current Equivalent      |

| #  | DATA ELEMENT NAME | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES  | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|-------------------|---|-------|---|------------|----------------------------|
| 11 | Rescind Reason    | <p><b>Description:</b><br/>Provides the reason why a health enrollment transaction is rescinded</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required</b> if Rescind Indicator is selected as true</p> <p><b>Note:</b><br/>No notable information</p> | C     | Free form text will be allowed to describe the rescind indicator, up to 100 characters          | 100        |                            |
| 12 | Rescind Notes     | <p><b>Description:</b><br/>This area allows for notes about the reason for rescission</p> <p><b>Required</b> if Rescind Indicator is true</p> <p><b>Note:</b><br/>No notable information</p>  | C     | This field allows free form text, for adding notes to the rescind reason, up to 1000 characters | 1000       | No Current Equivalent      |

| #  | DATA ELEMENT NAME | DESCRIPTION OF SUBMITTED DATA  | R/O/C | FIELD VALUES | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|-------------------|--|-------|--------------|------------|----------------------------|
| 13 | Agency Code       | <p><b>Description:</b><br/>The agency within the State the person works for</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required</b> for the following transactions when the person is health only (non-PERS)</p> <ul style="list-style-type: none"> <li>• New Enrollment</li> <li>• COBRA New Enrollment</li> <li>• Continued Enrollment</li> </ul> <p><b>Note:</b><br/>No notable information</p> | C     | ###          | 3          | No Current Equivalent      |

| #  | DATA ELEMENT NAME      | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES   | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|------------------------|---|-------|--|------------|----------------------------|
| 14 | Subscriber Status FERP | <p><b>Description:</b><br/>This is an indicator of whether or not the person has Faculty Early Retirement Program (FERP) status</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required</b> for New Enrollment if the agency is California State University (CSU) and Health Event Reason is State Retiree – Dental Enrollment</p> <p><b>Note:</b><br/>No notable information</p> | C     | true / false<br>(Must be entered in xml as all lower case) | 5          | No Current Equivalent      |

| #  | DATA ELEMENT NAME      | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|------------------------|---|-------|--------------|------------|----------------------------|
| 15 | FERP Status Begin Date | <p><b>Description:</b><br/>This is the begin date of the person's FERP status</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required</b> for New Enrollment if the agency is California State University (CSU) and Health Event Reason is State Retiree – Dental Enrollment</p> <p><b>Note:</b><br/>No notable information</p> | C     | yyyy-mm-dd   | 10         | No Current Equivalent      |

| #  | DATA ELEMENT NAME    | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|----------------------|---|-------|--------------|------------|----------------------------|
| 16 | FERP Status End Date | <p><b>Description:</b><br/>This is the end date of the person's FERP status</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required</b> for New Enrollment if the agency is California State University (CSU) and Health Event Reason is State Retiree – Dental Enrollment</p> <p><b>Note:</b><br/>No notable information</p> | C     | yyyy-mm-dd   | 10         | No Current Equivalent      |
| 17 | Appointment ID       | <p><b>Description:</b><br/>This represents the position into which the employee was hired</p> <p><b>Explanation:</b><br/>The unique identifier of an appointment generated by CalPERS</p>   | O     | #####        | 10         | No Current Equivalent      |



| # | DATA<br>ELEMENT<br>NAME | DESCRIPTION OF<br>SUBMITTED DATA   | R/O/C | FIELD VALUES | MAX<br>LENGTH | LEGACY (ACES)<br>FIELD VALUES |
|---|-------------------------|--|-------|--------------|---------------|-------------------------------|
|   |                         | <p><b>Required</b><br/>           No Data Required.</p> <p><b>Note:</b><br/>           Prior to system implementation, CalPERS will provide employers with a list of Appointment IDs for their employees. After system implementation, employers can run a report online to generate a list of Appointment IDs</p> |       |              |               |                               |

| #                      | DATA ELEMENT NAME      | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES  | MAX LENGTH | LEGACY (ACES) FIELD VALUES |                        |     |                        |     |  |                       |
|------------------------|------------------------|---|-------|---|------------|----------------------------|------------------------|-----|------------------------|-----|--|-----------------------|
| 18                     | Person Identifier Type | <p><b>Description:</b><br/>Type of unique person identifier</p> <p><b>Explanation:</b><br/>When first reporting for a person, this ID can be SSN. On all subsequent transactions for the person, the person Identifier Type CalPERS ID must be provided</p> <p><b>Required:</b><br/>This data is required</p> <p><b>Note:</b><br/>No notable information.</p> | R     | <table><tr><th>LONG NAME</th><th>CODE VALUE</th></tr><tr><td>Social Security Number</td><td>SSN</td></tr><tr><td>CalPERS Identification</td><td>PID</td></tr></table> | LONG NAME  | CODE VALUE                 | Social Security Number | SSN | CalPERS Identification | PID |  | No Current Equivalent |
| LONG NAME              | CODE VALUE             |   |       |   |            |                            |                        |     |                        |     |  |                       |
| Social Security Number | SSN                    |   |       |   |            |                            |                        |     |                        |     |  |                       |
| CalPERS Identification | PID                    |   |       |   |            |                            |                        |     |                        |     |  |                       |
| 19                     | Person Identifier      | <p><b>Description:</b><br/>The unique identifier of the person who qualifies for health enrollment</p> <p><b>Explanation:</b><br/>If SSN is selected as</p>   | R     | ##### (SSN)<br>##### (CalPERS ID)   | 10         | SSN                        |                        |     |                        |     |  |                       |

| # | DATA<br>ELEMENT<br>NAME | DESCRIPTION OF<br>SUBMITTED DATA   | R/O/C | FIELD VALUES | MAX<br>LENGTH | LEGACY (ACES)<br>FIELD VALUES |
|---|-------------------------|--|-------|--------------|---------------|-------------------------------|
|   |                         | <p>Person ID Type, the number should be submitted using the following format:</p> <ul style="list-style-type: none"> <li>• The Social Security Number must be nine digits</li> <li>• Social Security Numbers cannot start with 8, 9, or 666</li> <li>• Each section of the Social Security Number cannot be all zeroes (i.e., 000#####, ###00####, and #####0000 are each prohibited)</li> </ul> <p>The CalPERS ID, which is 10-digits, will be created and stored by the new system during enrollment, and will be used to identify participants when data is shared with</p> |       |              |               |                               |

| # | DATA<br>ELEMENT<br>NAME | DESCRIPTION OF<br>SUBMITTED DATA  | R/O/C | FIELD VALUES | MAX<br>LENGTH | LEGACY (ACES)<br>FIELD VALUES |
|---|-------------------------|---|-------|--------------|---------------|-------------------------------|
|   |                         | <p>CalPERS. It will be used in place of a Social Security Number in subsequent enrollment files. Prior to system Go-Live, CalPERS will send employers a file with the Person Identifier CalPERS ID for each of their existing employees and dependents</p> <p><b>Required:</b><br/>This data is required</p> <p><b>Note:</b><br/>No notable information</p> |       |              |               |                               |

| #  | DATA<br>ELEMENT<br>NAME | DESCRIPTION OF<br>SUBMITTED DATA   | R/O/C | FIELD VALUES | MAX<br>LENGTH | LEGACY (ACES)<br>FIELD VALUES |
|----|-------------------------|--|-------|--------------|---------------|-------------------------------|
| 20 | New SSN                 | <p><b>Description:</b><br/>The New SSN is a correction to the Social Security Number</p> <p><b>Explanation:</b><br/>Used to correct a member's Social Security Number</p> <p><b>Required:</b><br/>No required data</p> <p>Data accepted for<br/>Health Event Type<br/>Update Enrollment and<br/>Health Event Reason<br/>Update Demographics</p> <p><b>Note:</b><br/>No notable information</p> | O     | #####        | 9             | No Current Equivalent         |

| #  | DATA ELEMENT NAME  | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|--------------------|---|-------|--------------|------------|----------------------------|
| 21 | Original Hire Date | <p><b>Description:</b><br/>The first hire date recorded for this employee at this employer, regardless of whether or not the employee qualified for health benefits on this date</p> <p><b>Explanation:</b><br/>See description.</p> <p><b>Required:</b><br/>When Transaction Type is New Enrollment and the individual being reported is a non-PERS health subscriber</p> <p><b>Note:</b><br/>No notable information</p> | C     | yyyy-mm-dd   | 10         | No Current Equivalent      |

| #  | DATA ELEMENT NAME | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|-------------------|---|-------|--------------|------------|----------------------------|
| 22 | CBU               | <p><b>Description:</b><br/>The collective bargaining unit representing the employee.</p> <p><b>Explanation:</b><br/>See description.</p> <p><b>Required:</b><br/>When Health Event Type is New Enrollment</p> <p><b>Note:</b><br/>Data is accepted for Health Event Type of Update Enrollment</p> | C     | XXXXXXXXXX   | 10         | No Difference              |

| #  | DATA ELEMENT NAME | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES         | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|-------------------|---|-------|----------------------|------------|----------------------------|
| 23 | First Name        | <b>Description:</b><br>The person's first name<br><br><b>Explanation:</b><br>See description<br><br><b>Required:</b><br>This data is required<br><br><b>Note:</b><br>Only Alpha characters, blank spaces, hyphens (-), and apostrophes (') will be accepted | R     | xxxxxxxxxxxxxxxxxxxx | 20         | No Difference              |
| 24 | Middle Name       | <b>Description:</b><br>The person's middle name<br><br><b>Explanation:</b><br>See description<br><br><b>Required:</b><br>No required data<br><br><b>Note:</b><br>Alpha characters only and will allow blank spaces, hyphens (-), and apostrophes (')        | O     | xxxxxxxxxxxxxxxxxxxx | 20         | No Difference              |



| #         | DATA ELEMENT NAME | DESCRIPTION OF SUBMITTED DATA  | R/O/C | FIELD VALUES   | MAX LENGTH | LEGACY (ACES) FIELD VALUES |      |   |        |   |         |   |   |               |
|-----------|-------------------|--|-------|--|------------|----------------------------|------|---|--------|---|---------|---|---|---------------|
| 25        | Last Name         | <p><b>Description:</b><br/>The person’s last name</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required:</b><br/>This data is required</p> <p><b>Note:</b><br/>Only alpha characters, blank spaces, hyphens (-), and apostrophes (') will be accepted.</p> <ul style="list-style-type: none"><li>• Minimum of one alpha character.</li><li>• Cannot begin with a blank space</li></ul> | R     | xxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxx   | 30         | No Difference              |      |   |        |   |         |   |   |               |
| 26        | Gender            | <p><b>Description:</b><br/>The person’s gender</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required:</b><br/>This data is required</p> <p><b>Note:</b><br/>No notable information</p>   | R     | <table><tr><th>LONG NAME</th><th>CODE VALUE</th></tr><tr><td>Male</td><td>M</td></tr><tr><td>Female</td><td>F</td></tr><tr><td>Unknown</td><td>U</td></tr></table> | LONG NAME  | CODE VALUE                 | Male | M | Female | F | Unknown | U | 3 | No Difference |
| LONG NAME | CODE VALUE        |  |       |  |            |                            |      |   |        |   |         |   |   |               |
| Male      | M                 |  |       |  |            |                            |      |   |        |   |         |   |   |               |
| Female    | F                 |  |       |  |            |                            |      |   |        |   |         |   |   |               |
| Unknown   | U                 |  |       |  |            |                            |      |   |        |   |         |   |   |               |

| #  | DATA ELEMENT NAME | DESCRIPTION OF SUBMITTED DATA  | R/O/C | FIELD VALUES | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|-------------------|--|-------|--------------|------------|----------------------------|
| 27 | Birth Date        | <b>Description:</b><br>The person's date of birth<br><br><b>Explanation:</b><br>See description<br><br><b>Required:</b><br>This data is required<br><br><b>Note:</b><br>No notable information | R     | yyyy-mm-dd   | 10         | No Difference              |

| #         | DATA ELEMENT NAME | DESCRIPTION OF SUBMITTED DATA  | R/O/C | FIELD VALUES  | MAX LENGTH | LEGACY (ACES) FIELD VALUES |        |    |        |    |       |   |        |    |       |     |        |    |       |   |      |     |    |    |     |     |      |     |      |     |     |     |   |               |
|-----------|-------------------|--|-------|---|------------|----------------------------|--------|----|--------|----|-------|---|--------|----|-------|-----|--------|----|-------|---|------|-----|----|----|-----|-----|------|-----|------|-----|-----|-----|---|---------------|
| 28        | Suffix            | <p><b>Description:</b><br/>The person's suffix, if applicable</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required:</b><br/>No required data</p> <p><b>Note:</b><br/>No notable information</p> | O     | <table><thead><tr><th>LONG NAME</th><th>CODE VALUE</th></tr></thead><tbody><tr><td>Senior</td><td>SR</td></tr><tr><td>Junior</td><td>JR</td></tr><tr><td>First</td><td>I</td></tr><tr><td>Second</td><td>II</td></tr><tr><td>Third</td><td>III</td></tr><tr><td>Fourth</td><td>IV</td></tr><tr><td>Fifth</td><td>V</td></tr><tr><td>Ph.D</td><td>PHD</td></tr><tr><td>MD</td><td>MD</td></tr><tr><td>CPA</td><td>CPA</td></tr><tr><td>Ed.D</td><td>EDD</td></tr><tr><td>Esq.</td><td>ESQ</td></tr><tr><td>DDS</td><td>DDS</td></tr></tbody></table> | LONG NAME  | CODE VALUE                 | Senior | SR | Junior | JR | First | I | Second | II | Third | III | Fourth | IV | Fifth | V | Ph.D | PHD | MD | MD | CPA | CPA | Ed.D | EDD | Esq. | ESQ | DDS | DDS | 3 | No Difference |
| LONG NAME | CODE VALUE        |  |       |   |            |                            |        |    |        |    |       |   |        |    |       |     |        |    |       |   |      |     |    |    |     |     |      |     |      |     |     |     |   |               |
| Senior    | SR                |  |       |   |            |                            |        |    |        |    |       |   |        |    |       |     |        |    |       |   |      |     |    |    |     |     |      |     |      |     |     |     |   |               |
| Junior    | JR                |  |       |   |            |                            |        |    |        |    |       |   |        |    |       |     |        |    |       |   |      |     |    |    |     |     |      |     |      |     |     |     |   |               |
| First     | I                 |  |       |   |            |                            |        |    |        |    |       |   |        |    |       |     |        |    |       |   |      |     |    |    |     |     |      |     |      |     |     |     |   |               |
| Second    | II                |  |       |   |            |                            |        |    |        |    |       |   |        |    |       |     |        |    |       |   |      |     |    |    |     |     |      |     |      |     |     |     |   |               |
| Third     | III               |  |       |   |            |                            |        |    |        |    |       |   |        |    |       |     |        |    |       |   |      |     |    |    |     |     |      |     |      |     |     |     |   |               |
| Fourth    | IV                |  |       |   |            |                            |        |    |        |    |       |   |        |    |       |     |        |    |       |   |      |     |    |    |     |     |      |     |      |     |     |     |   |               |
| Fifth     | V                 |  |       |   |            |                            |        |    |        |    |       |   |        |    |       |     |        |    |       |   |      |     |    |    |     |     |      |     |      |     |     |     |   |               |
| Ph.D      | PHD               |  |       |   |            |                            |        |    |        |    |       |   |        |    |       |     |        |    |       |   |      |     |    |    |     |     |      |     |      |     |     |     |   |               |
| MD        | MD                |  |       |   |            |                            |        |    |        |    |       |   |        |    |       |     |        |    |       |   |      |     |    |    |     |     |      |     |      |     |     |     |   |               |
| CPA       | CPA               |  |       |   |            |                            |        |    |        |    |       |   |        |    |       |     |        |    |       |   |      |     |    |    |     |     |      |     |      |     |     |     |   |               |
| Ed.D      | EDD               |  |       |   |            |                            |        |    |        |    |       |   |        |    |       |     |        |    |       |   |      |     |    |    |     |     |      |     |      |     |     |     |   |               |
| Esq.      | ESQ               |  |       |   |            |                            |        |    |        |    |       |   |        |    |       |     |        |    |       |   |      |     |    |    |     |     |      |     |      |     |     |     |   |               |
| DDS       | DDS               |  |       |   |            |                            |        |    |        |    |       |   |        |    |       |     |        |    |       |   |      |     |    |    |     |     |      |     |      |     |     |     |   |               |

| #                   | DATA ELEMENT NAME | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES   | MAX LENGTH | LEGACY (ACES) FIELD VALUES |                 |     |                  |     |   |   |           |            |                 |   |                     |   |
|---------------------|-------------------|---|-------|--|------------|----------------------------|-----------------|-----|------------------|-----|---|---|-----------|------------|-----------------|---|---------------------|---|
| 29                  | Address Type      | <p><b>Description:</b><br/>The person's type of address</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required</b> for Health Event Type:</p> <ul style="list-style-type: none"><li>• New Enrollment</li><li>• Cancel Coverage, if Health Event Reason is Enrolled into Flex Elect</li><li>• COBRA New Enrollment, if Eligibility Basis is COBRA Qual Dependent</li></ul> <p><b>Note:</b><br/>Only one address type can be submitted with each health enrollment transaction</p> | C     | <table><tr><th>LONG NAME</th><th>CODE VALUE</th></tr><tr><td>Mailing Address</td><td>MAI</td></tr><tr><td>Physical Address</td><td>PHY</td></tr></table> | LONG NAME  | CODE VALUE                 | Mailing Address | MAI | Physical Address | PHY | 3 | <table><tr><th>LONG NAME</th><th>CODE VALUE</th></tr><tr><td>Mailing Address</td><td>1</td></tr><tr><td>Residential Address</td><td>5</td></tr></table> | LONG NAME | CODE VALUE | Mailing Address | 1 | Residential Address | 5 |
| LONG NAME           | CODE VALUE        |   |       |  |            |                            |                 |     |                  |     |   |   |           |            |                 |   |                     |   |
| Mailing Address     | MAI               |   |       |  |            |                            |                 |     |                  |     |   |   |           |            |                 |   |                     |   |
| Physical Address    | PHY               |   |       |  |            |                            |                 |     |                  |     |   |   |           |            |                 |   |                     |   |
| LONG NAME           | CODE VALUE        |   |       |  |            |                            |                 |     |                  |     |   |   |           |            |                 |   |                     |   |
| Mailing Address     | 1                 |   |       |  |            |                            |                 |     |                  |     |   |   |           |            |                 |   |                     |   |
| Residential Address | 5                 |   |       |  |            |                            |                 |     |                  |     |   |   |           |            |                 |   |                     |   |

| #  | DATA ELEMENT NAME      | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES   | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|------------------------|---|-------|--|------------|----------------------------|
| 30 | Use Address for Health | <p><b>Description:</b><br/>Indicates that the person's address should be used for health enrollment</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required</b> for Health Event Type COBRA New Enrollment if Eligibility Basis is COBRA Qual Dependent</p> <p>Data accepted if reported for Health Event Types New Enrollment and Cancel Coverage</p> <p><b>Note:</b><br/>If a PO Box is given, this will result in an error</p> | C     | true / false<br>(Must be entered in xml as all lower case) | 5          | No Current Equivalent      |

| #  | DATA ELEMENT NAME                | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES      | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|----------------------------------|---|-------|-------------------|------------|----------------------------|
| 31 | Health Eligibility ZIP Code Type | <p><b>Description:</b><br/>The type of ZIP Code used to determine health eligibility</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required</b> when Health Event Types:</p> <ul style="list-style-type: none"> <li>• New Enrollment</li> <li>• Change Health Plan</li> <li>• Cancel Coverage, when Health Event Reason is Enrolled into Flex Elect</li> <li>• COBRA New Enrollment when Eligibility Basis is COBRA Qual Dependent</li> </ul> <p><b>Note:</b><br/>No notable information</p> | C     | Personal Employer | 10         | No Difference              |

| #  | DATA ELEMENT NAME           | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|-----------------------------|---|-------|--------------|------------|----------------------------|
| 32 | Health Eligibility ZIP Code | <p><b>Description:</b><br/>The health eligibility ZIP Code</p> <p><b>Explanation:</b><br/>This field is required if Health Eligibility ZIP Code Type is Personal or Employer</p> <ul style="list-style-type: none"> <li>• Use a numeric format</li> <li>• Must be a US ZIP Code</li> </ul> <p><b>Required</b> when Health Event Types:</p> <ul style="list-style-type: none"> <li>• New Enrollment</li> <li>• Change Health Plan</li> <li>• Cancel Coverage, when Health Event Reason is Enrolled into Flex Elect</li> <li>• COBRA New Enrollment when Eligibility Basis is COBRA Qual Dependent</li> </ul> | C     | #####        | 5          | No Difference              |

| #  | DATA ELEMENT NAME | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES              | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|-------------------|---|-------|---------------------------|------------|----------------------------|
|    |                   | <b>Note:</b><br>If the Use Address for Health is selected, and Personal is selected, the ZIP Code for the address must match the ZIP Code provided for the Health Eligibility ZIP Code  |       |                           |            |                            |
| 33 | County            | <b>Description:</b><br>The county the employee designates for health eligibility<br><br><b>Explanation:</b><br>See description<br><br><b>Required</b> when Health Event Types: <ul style="list-style-type: none"> <li>• New Enrollment</li> <li>• Change Health Plan</li> <li>• Cancel Coverage, when Health Event Reason is Enrolled into Flex Elect</li> <li>• COBRA New Enrollment when</li> </ul> | C     | See Appendix A, Section 5 | 3          | No Current Equivalent      |



| #  | DATA ELEMENT NAME | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES                          | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|-------------------|---|-------|---------------------------------------|------------|----------------------------|
|    |                   | <p>Eligibility Basis is COBRA Qual Dependent</p> <p><b>Note:</b><br/>No notable information</p>   |       |                                       |            |                            |
| 34 | Address 1         | <p><b>Description:</b><br/>The first address line of the address to be entered.</p> <p><b>Explanation:</b><br/>Typically used for the person's street address or in care of information.</p> <p><b>Required</b> when Health Event Types:</p> <ul style="list-style-type: none"> <li>• New Enrollment</li> <li>• Cancel Coverage, when Health Event Reason is Enrolled into Flex Elect</li> <li>• COBRA New Enrollment when Eligibility Basis is COBRA Qual Dependent</li> </ul> | C     | Free form text of up to 30 characters | 30         | No Difference              |

| #  | DATA ELEMENT NAME | DESCRIPTION OF SUBMITTED DATA  | R/O/C | FIELD VALUES                          | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|-------------------|--|-------|---------------------------------------|------------|----------------------------|
|    |                   | <b>Note:</b><br>If the address is an apartment or suite number, and cannot fit in Address 1, then use Address 2  |       |                                       |            |                            |
| 35 | Address 2         | <b>Description:</b><br>The second address line<br><br><b>Explanation:</b><br>Typically used for the person's street address if address line 1 was used for in care of information; otherwise would be used for address information that does not fit on address line 1, such as; suite number, building name, room number, apartment number, etc<br><br>Data accepted if Address 1 is supplied<br><br><b>Required:</b> | O     | Free form text of up to 30 characters | 30         | No Difference              |

| #  | DATA ELEMENT NAME | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES                          | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|-------------------|---|-------|---------------------------------------|------------|----------------------------|
|    |                   | No required data<br><br><b>Note:</b><br>No notable information.   |       |                                       |            |                            |
| 36 | City              | <b>Description:</b><br>The city applicable to the address entered<br><br><b>Explanation:</b><br>Data accepted if Address 1 is supplied<br><br><b>Required:</b><br>When Address Line 1 is supplied.<br><br><b>Note:</b><br>Data element accepts alpha and numeric characters | C     | Free form text of up to 30 characters | 30         | No Difference              |

| #  | DATA ELEMENT NAME | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES              | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|-------------------|---|-------|---------------------------|------------|----------------------------|
| 37 | State             | <p><b>Description:</b><br/>The code value for the state applicable to the address entered, if country selected is United States of America (USA) or Mexico</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required</b> if Country is USA or Mexico and Address 1 is supplied</p> <p><b>Note:</b><br/>No notable information</p> | C     | See Appendix A, Section 3 | 3          | No Difference              |

| #  | DATA ELEMENT NAME | DESCRIPTION OF SUBMITTED DATA  | R/O/C | FIELD VALUES | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|-------------------|--|-------|--------------|------------|----------------------------|
| 38 | ZIP Code 5        | <p><b>Description:</b><br/>The first five digits of the zip code for the address designated in Address Type</p> <p><b>Explanation:</b><br/>If Country is USA, the following are required:</p> <ul style="list-style-type: none"> <li>• Use numeric format</li> <li>• The first five numbers of the ZIP Code</li> </ul> <p><b>Required</b> if Country is USA and Address 1 is supplied</p> <p><b>Note:</b><br/>No notable information</p> | C     | #####        | 5          | No Difference              |

| #  | DATA ELEMENT NAME | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES              | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|-------------------|---|-------|---------------------------|------------|----------------------------|
| 39 | ZIP Code 4        | <p><b>Description:</b><br/>The next four digits of the zip code or the address designated in Address Type</p> <p><b>Explanation:</b><br/>Data accepted if ZIP Code – 5 digits is supplied</p> <p><b>Required:</b><br/>No required data</p> <p><b>Note:</b><br/>No notable information</p> | O     | ####                      | 4          | No Difference              |
| 40 | Country           | <p><b>Description:</b><br/>The code value for the country</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required</b> if Address 1 is supplied</p> <p><b>Note:</b><br/>No notable information</p>   | C     | See Appendix A, Section 4 | 3          | No Difference              |

| #                     | DATA ELEMENT NAME  | DESCRIPTION OF SUBMITTED DATA  | R/O/C | FIELD VALUES   |           | MAX LENGTH | LEGACY (ACES) FIELD VALUES |    |                  |    |          |    |               |    |              |    |                       |    |             |    |         |    |                      |    |        |    |              |    |       |    |    |               |
|-----------------------|--------------------|--|-------|--|-----------|------------|----------------------------|----|------------------|----|----------|----|---------------|----|--------------|----|-----------------------|----|-------------|----|---------|----|----------------------|----|--------|----|--------------|----|-------|----|----|---------------|
| 41                    | Province/Territory | <p><b>Description:</b><br/>The province or territory which coincides with the Address Type</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required:</b><br/>When Country is neither US nor Mexico and Address Line 1 is provided.</p> <p><b>Note:</b><br/>If Country is not USA, Mexico, or Canada, then Province is optional and can be submitted through free form text.</p> | C     | <table><tr><th>LONG NAME</th><th>CODE VALUE</th></tr><tr><td>Alberta</td><td>AB</td></tr><tr><td>British Columbia</td><td>BC</td></tr><tr><td>Manitoba</td><td>MB</td></tr><tr><td>New Brunswick</td><td>NB</td></tr><tr><td>Newfoundland</td><td>NF</td></tr><tr><td>Northwest Territories</td><td>NT</td></tr><tr><td>Nova Scotia</td><td>NS</td></tr><tr><td>Ontario</td><td>ON</td></tr><tr><td>Prince Edward Island</td><td>PE</td></tr><tr><td>Quebec</td><td>PQ</td></tr><tr><td>Saskatchewan</td><td>SK</td></tr><tr><td>Yukon</td><td>YT</td></tr></table> <p>Free form text of up to 50 characters if Country not equal to Canada, USA, or Mexico.</p> | LONG NAME | CODE VALUE | Alberta                    | AB | British Columbia | BC | Manitoba | MB | New Brunswick | NB | Newfoundland | NF | Northwest Territories | NT | Nova Scotia | NS | Ontario | ON | Prince Edward Island | PE | Quebec | PQ | Saskatchewan | SK | Yukon | YT | 50 | No Difference |
| LONG NAME             | CODE VALUE         |  |       |  |           |            |                            |    |                  |    |          |    |               |    |              |    |                       |    |             |    |         |    |                      |    |        |    |              |    |       |    |    |               |
| Alberta               | AB                 |  |       |  |           |            |                            |    |                  |    |          |    |               |    |              |    |                       |    |             |    |         |    |                      |    |        |    |              |    |       |    |    |               |
| British Columbia      | BC                 |  |       |  |           |            |                            |    |                  |    |          |    |               |    |              |    |                       |    |             |    |         |    |                      |    |        |    |              |    |       |    |    |               |
| Manitoba              | MB                 |  |       |  |           |            |                            |    |                  |    |          |    |               |    |              |    |                       |    |             |    |         |    |                      |    |        |    |              |    |       |    |    |               |
| New Brunswick         | NB                 |  |       |  |           |            |                            |    |                  |    |          |    |               |    |              |    |                       |    |             |    |         |    |                      |    |        |    |              |    |       |    |    |               |
| Newfoundland          | NF                 |  |       |  |           |            |                            |    |                  |    |          |    |               |    |              |    |                       |    |             |    |         |    |                      |    |        |    |              |    |       |    |    |               |
| Northwest Territories | NT                 |  |       |  |           |            |                            |    |                  |    |          |    |               |    |              |    |                       |    |             |    |         |    |                      |    |        |    |              |    |       |    |    |               |
| Nova Scotia           | NS                 |  |       |  |           |            |                            |    |                  |    |          |    |               |    |              |    |                       |    |             |    |         |    |                      |    |        |    |              |    |       |    |    |               |
| Ontario               | ON                 |  |       |  |           |            |                            |    |                  |    |          |    |               |    |              |    |                       |    |             |    |         |    |                      |    |        |    |              |    |       |    |    |               |
| Prince Edward Island  | PE                 |  |       |  |           |            |                            |    |                  |    |          |    |               |    |              |    |                       |    |             |    |         |    |                      |    |        |    |              |    |       |    |    |               |
| Quebec                | PQ                 |  |       |  |           |            |                            |    |                  |    |          |    |               |    |              |    |                       |    |             |    |         |    |                      |    |        |    |              |    |       |    |    |               |
| Saskatchewan          | SK                 |  |       |  |           |            |                            |    |                  |    |          |    |               |    |              |    |                       |    |             |    |         |    |                      |    |        |    |              |    |       |    |    |               |
| Yukon                 | YT                 |  |       |  |           |            |                            |    |                  |    |          |    |               |    |              |    |                       |    |             |    |         |    |                      |    |        |    |              |    |       |    |    |               |

| #                      | DATA ELEMENT NAME         | DESCRIPTION OF SUBMITTED DATA  | R/O/C | FIELD VALUES  | MAX LENGTH | LEGACY (ACES) FIELD VALUES |                        |     |                        |     |   |                       |
|------------------------|---------------------------|--|-------|---|------------|----------------------------|------------------------|-----|------------------------|-----|---|-----------------------|
| 42                     | Postal Code               | <p><b>Description:</b><br/>The International Postal Code</p> <p><b>Explanation:</b><br/>The International Postal Code is alphanumeric</p> <p><b>Required:</b><br/>When Country indicated is not USA and Address Line 1 is supplied.</p> <p><b>Note:</b><br/>No notable information</p> | C     | Free form text of up to 12 characters   | 12         | No Current Equivalent      |                        |     |                        |     |   |                       |
| 43                     | Qualifying Person ID Type | <p><b>Description:</b><br/>The type of unique identifier for the member that qualifies the subscriber for health enrollment</p> <p><b>Explanation:</b><br/>When first reporting for an employee, this ID can be SSN. On all</p>  | C     | <table><tr><th>LONG NAME</th><th>CODE VALUE</th></tr><tr><td>Social Security Number</td><td>SSN</td></tr><tr><td>CalPERS Identification</td><td>PID</td></tr></table> | LONG NAME  | CODE VALUE                 | Social Security Number | SSN | CalPERS Identification | PID | 3 | No Current Equivalent |
| LONG NAME              | CODE VALUE                |  |       |   |            |                            |                        |     |                        |     |   |                       |
| Social Security Number | SSN                       |  |       |   |            |                            |                        |     |                        |     |   |                       |
| CalPERS Identification | PID                       |  |       |   |            |                            |                        |     |                        |     |   |                       |



| # | DATA<br>ELEMENT<br>NAME | DESCRIPTION OF<br>SUBMITTED DATA   | R/O/C | FIELD VALUES | MAX<br>LENGTH | LEGACY (ACES)<br>FIELD VALUES |
|---|-------------------------|--|-------|--------------|---------------|-------------------------------|
|   |                         | <p>subsequent transactions for the employee, the CalPERS ID must be provided</p> <p><b>Required</b> for Health Event Types:</p> <ul style="list-style-type: none"> <li>New Enrollment: Required if Health Event Reason is Surv Benefits Paid by ER</li> <li>COBRA Enrollment when Eligibility Basis is COBRA Qual Dependent</li> </ul> <p>Data accepted if reported for Health Event Type Cancel Coverage</p> <p><b>Note:</b><br/>No notable information</p> |       |              |               |                               |

| #  | DATA ELEMENT NAME    | DESCRIPTION OF SUBMITTED DATA  | R/O/C | FIELD VALUES                              | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|----------------------|--|-------|---|------------|----------------------------|
| 44 | Qualifying Person ID | <p><b>Description:</b><br/>The unique identifier of the member who qualifies the subscriber for health enrollment</p> <p><b>Explanation:</b><br/>Data accepted if reported for Health Event Type Cancel Coverage</p> <p>If SSN is selected as ID type, the number should be submitted using the following format:</p> <ul style="list-style-type: none"> <li>• The Social Security Number must be nine digits</li> <li>• Social Security Numbers cannot start with 8, 9, or 666</li> <li>• Each section of the Social Security Number cannot be all zeroes (i.e., 000 #####, ###00####, and #####0000 are</li> </ul> | C     | <p>##### (SSN)<br/>##### (CalPERS ID)</p> | 10         | SSN                        |

| # | DATA<br>ELEMENT<br>NAME | DESCRIPTION OF<br>SUBMITTED DATA   | R/O/C | FIELD VALUES | MAX<br>LENGTH | LEGACY (ACES)<br>FIELD VALUES |
|---|-------------------------|--|-------|--------------|---------------|-------------------------------|
|   |                         | <p>each prohibited)</p> <p>The CalPERS ID, which is 10-digits, will be created and stored by the new system during enrollment, and will be used to identify participants when data is shared with CalPERS. It will be used in place of a Social Security Number in subsequent enrollment files. Prior to system Go-Live, CalPERS will send employers a file with the CalPERS IDs for each of their existing employees and dependents</p> <p><b>Required</b> for Health Event Type:</p> <ul style="list-style-type: none"> <li>New Enrollment when Health Event Reason is Surv Benefits Paid by ER</li> </ul> |       |              |               |                               |

| #  | DATA ELEMENT NAME                             | DESCRIPTION OF SUBMITTED DATA  | R/O/C | FIELD VALUES | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|---|--|-------|--------------|------------|----------------------------|
|    |   | <ul style="list-style-type: none"> <li>COBRA Enrollment when Eligibility Basis is COBRA Qual Dependent</li> </ul> <p>Data accepted if reported for Health Event Type Cancel Coverage</p> <p><b>Note:</b><br/>No notable information</p>  |       |              |            |                            |
| 45 | Permanent Separation Date (Qualifying Member) | <p><b>Description:</b><br/>Last day of a qualifying individual's employment</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required</b> for Health Event Types</p> <p>Cancel Coverage:</p> <ul style="list-style-type: none"> <li>If the individual is a non-PERS health subscriber; or</li> <li>If the Health Event Reason is either</li> </ul> | C     | yyyy-mm-dd   | 10         | No Difference              |

| # | DATA<br>ELEMENT<br>NAME | DESCRIPTION OF<br>SUBMITTED DATA  | R/O/C | FIELD VALUES | MAX<br>LENGTH | LEGACY (ACES)<br>FIELD VALUES |
|---|-------------------------|---|-------|--------------|---------------|-------------------------------|
|   |                         | <p>Cancel Perm<br/>Separation or<br/>Layoff Cancel</p> <p>COBRA New<br/>Enrollment:</p> <ul style="list-style-type: none"> <li>If Eligibility Basis is<br/>either COBRA Qual<br/>Subscriber and<br/>individual is Non-<br/>PERS</li> </ul> <p><b>Note:</b><br/>No notable information</p> |       |              |               |                               |

| #  | DATA ELEMENT NAME                   | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES           | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|-------------------------------------|---|-------|------------------------|------------|----------------------------|
| 46 | Retirement Date (Qualifying Member) | <p><b>Description:</b><br/>The retirement date of the qualifying individual</p> <p><b>Explanation:</b></p> <ul style="list-style-type: none"> <li>• See description</li> </ul> <p><b>Required</b> if the individual is a non-PERS health subscriber and Health Event Types are:</p> <ul style="list-style-type: none"> <li>• New Enrollment</li> <li>• Continued Enrollment</li> </ul> <p><b>Note:</b><br/>No notable information</p> | C     | yyyy-mm-dd             | 10         | No Current Equivalent      |
| 47 | First Name (Qualifying Member)      | <p><b>Description:</b><br/>The first name of the member who qualifies the subscriber for health enrollment</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required</b> for the</p>  | C     | xxxxxxxxxxxxxxxxxxxxxx | 20         | No Difference              |

| # | DATA<br>ELEMENT<br>NAME | DESCRIPTION OF<br>SUBMITTED DATA  | R/O/C | FIELD VALUES | MAX<br>LENGTH | LEGACY (ACES)<br>FIELD VALUES |
|---|-------------------------|---|-------|--------------|---------------|-------------------------------|
|   |                         | <p>following Health Event Types:</p> <ul style="list-style-type: none"> <li>New Enrollment: Required if Health Event Reason is Surv Benefits Paid by ER</li> <li>COBRA Enrollment: Required if Eligibility Basis is COBRA Qual Dependent</li> </ul> <p>Data accepted for Cancel Coverage</p> <p><b>Note:</b><br/>The following characters are permitted:</p> <ul style="list-style-type: none"> <li>Only Alpha characters, blank spaces, hyphens (-), and apostrophes (') will be accepted</li> </ul> |       |              |               |                               |

| #  | DATA ELEMENT NAME               | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES           | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|---------------------------------|---|-------|------------------------|------------|----------------------------|
| 48 | Middle Name (Qualifying Member) | <p><b>Description:</b><br/>The middle name of the member who qualifies the subscriber for health enrollment</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required:</b><br/>No required data</p> <p>Data accepted for New Enrollment if</p> <p>Health Event Reason is Surv Benefits Paid by ER</p> <p>Cancel Coverage COBRA Enrollment if Eligibility Basis is COBRA Qual Dependent</p> <p><b>Note:</b><br/>Only alpha and will allow blank spaces, hyphens (-), and apostrophes (')</p> | O     | xxxxxxxxxxxxxxxxxxxxxx | 20         | No Difference              |



| #  | DATA ELEMENT NAME                | DESCRIPTION OF SUBMITTED DATA  | R/O/C | FIELD VALUES                     | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|----------------------------------|--|-------|----------------------------------|------------|----------------------------|
| 49 | Last Name<br>(Qualifying Member) | <p><b>Description:</b><br/>The last name of the member who qualifies the subscriber for health enrollment</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required</b> for the following Health Event Types:</p> <ul style="list-style-type: none"> <li>New Enrollment:<br/>Required if Health Event Reason is Surv Benefits Paid by ER</li> <li>COBRA Enrollment:<br/>Required if Eligibility Basis is COBRA Qual Dependent</li> </ul> <p>Data accepted for Cancel Coverage</p> <p><b>Note:</b><br/>The following characters are</p> | C     | xxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxx | 30         | No Difference              |

| # | DATA<br>ELEMENT<br>NAME | DESCRIPTION OF<br>SUBMITTED DATA   | R/O/C | FIELD VALUES | MAX<br>LENGTH | LEGACY (ACES)<br>FIELD VALUES |
|---|-------------------------|--|-------|--------------|---------------|-------------------------------|
|   |                         | permitted: <ul style="list-style-type: none"> <li>Only alpha characters, blank spaces, hyphens (-), and apostrophes (') will be accepted</li> <li>Minimum of one alpha character</li> <li>Cannot start with a blank space</li> </ul> |       |              |               |                               |

| #         | DATA ELEMENT NAME          | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES   | MAX LENGTH | LEGACY (ACES) FIELD VALUES |      |   |        |   |         |   |   |               |
|-----------|----------------------------|---|-------|--|------------|----------------------------|------|---|--------|---|---------|---|---|---------------|
| 50        | Gender (Qualifying Member) | <p><b>Description:</b><br/>The gender of the member who qualifies the subscriber for health enrollment.</p> <p><b>Explanation:</b><br/>Data accepted if reported for Health Event Type Cancel Coverage</p> <p><b>Required</b> for Health Event Type:</p> <ul style="list-style-type: none"><li>New Enrollment when Health Event Reason is Surv Benefits Paid by ER</li><li>COBRA Enrollment when Eligibility Basis is COBRA Qual Dependent</li></ul> <p><b>Note:</b><br/>No notable information</p> | C     | <table><tr><th>LONG NAME</th><th>CODE VALUE</th></tr><tr><td>Male</td><td>M</td></tr><tr><td>Female</td><td>F</td></tr><tr><td>Unknown</td><td>U</td></tr></table> | LONG NAME  | CODE VALUE                 | Male | M | Female | F | Unknown | U | 3 | No Difference |
| LONG NAME | CODE VALUE                 |   |       |  |            |                            |      |   |        |   |         |   |   |               |
| Male      | M                          |   |       |  |            |                            |      |   |        |   |         |   |   |               |
| Female    | F                          |   |       |  |            |                            |      |   |        |   |         |   |   |               |
| Unknown   | U                          |   |       |  |            |                            |      |   |        |   |         |   |   |               |

| #  | DATA ELEMENT NAME              | DESCRIPTION OF SUBMITTED DATA  | R/O/C | FIELD VALUES | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|--------------------------------|--|-------|--------------|------------|----------------------------|
| 51 | Birth Date (Qualifying Member) | <p><b>Description:</b><br/>The date of birth of the member who qualifies the subscriber for health enrollment</p> <p><b>Explanation:</b><br/>Data accepted if reported for Health Event Type Cancel Coverage</p> <p><b>Required</b> for Health Event Type:</p> <ul style="list-style-type: none"> <li>New Enrollment when Health Event Reason is Surv Benefits Paid by ER</li> <li>COBRA Enrollment when Eligibility Basis is COBRA Qual Dependent</li> </ul> <p><b>Note:</b><br/>No notable information</p> | C     | yyyy-mm-dd   | 10         | No Difference              |

| #   | DATA ELEMENT NAME         | DESCRIPTION OF SUBMITTED DATA  | R/O/C | FIELD VALUES  | MAX LENGTH | LEGACY (ACES) FIELD VALUES |                             |     |                            |     |   |     |  |     |   |               |
|---|---------------------------|--|-------|---|------------|----------------------------|-----------------------------|-----|----------------------------|-----|---|-----|--|-----|---|---------------|
| 52  | COBRA Eligibility Basis   | <p><b>Description:</b><br/>The basis for COBRA eligibility</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required</b> for Health Event Type<br/>COBRA New Enrollment</p> <p><b>Note:</b><br/>No notable information</p>               | C     | <table><tr><th>LONG NAME</th><th>CODE VALUE</th></tr><tr><td>COBRA Qualifying Subscriber</td><td>CSB</td></tr><tr><td>COBRA Qualifying Dependent</td><td>CDT</td></tr><tr><td>COBRA Qualifying Subscriber New Contracting</td><td>CSC</td></tr><tr><td>COBRA Qualifying Dependent New Contracting</td><td>CDC</td></tr></table> | LONG NAME  | CODE VALUE                 | COBRA Qualifying Subscriber | CSB | COBRA Qualifying Dependent | CDT | COBRA Qualifying Subscriber New Contracting | CSC | COBRA Qualifying Dependent New Contracting | CDC | 3 | No Difference |
| LONG NAME                                   | CODE VALUE                |  |       |   |            |                            |                             |     |                            |     |   |     |  |     |   |               |
| COBRA Qualifying Subscriber                 | CSB                       |  |       |   |            |                            |                             |     |                            |     |   |     |  |     |   |               |
| COBRA Qualifying Dependent                  | CDT                       |  |       |   |            |                            |                             |     |                            |     |   |     |  |     |   |               |
| COBRA Qualifying Subscriber New Contracting | CSC                       |  |       |   |            |                            |                             |     |                            |     |   |     |  |     |   |               |
| COBRA Qualifying Dependent New Contracting  | CDC                       |  |       |   |            |                            |                             |     |                            |     |   |     |  |     |   |               |
| 53  | Original COBRA Start Date | <p><b>Description:</b><br/>The first day of COBRA health enrollment coverage</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required</b> for Health Event Type COBRA New Enrollment</p> <p><b>Note:</b><br/>No notable information</p> | C     | yyyy-mm-dd  | 10         | No Difference              |                             |     |                            |     |   |     |  |     |   |               |

| #  | DATA ELEMENT NAME      | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES  |  | MAX LENGTH | LEGACY (ACES) FIELD VALUES |   |     |  |     |   |     |   |                       |
|--|------------------------|---|-------|---|--|------------|----------------------------|---|-----|--|-----|---|-----|---|-----------------------|
| 54   | Affiliated Association | <p><b>Description:</b><br/>The affiliated association of the qualifying Individual</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required:</b><br/>For the following Health Event Types if the medical plan selected is an affiliated association</p> <ul style="list-style-type: none"><li>• New Enrollment</li><li>• COBRA New Enrollment</li><li>• Change Health Plan if Open Enrollment</li></ul> <p><b>Note:</b><br/>No notable information</p> | C     | <table><tr><th>LONG NAME</th><th>CODE VALUE</th></tr><tr><td>California Associations of Highway Patrol</td><td>CHP</td></tr><tr><td>California Correctional Peace Officers Association</td><td>CPO</td></tr><tr><td>Peace Officers Research Association of California</td><td>POR</td></tr></table> |  | LONG NAME  | CODE VALUE                 | California Associations of Highway Patrol | CHP | California Correctional Peace Officers Association | CPO | Peace Officers Research Association of California | POR | 3 | No Current Equivalent |
| LONG NAME  | CODE VALUE             |   |       |   |  |            |                            |   |     |  |     |   |     |   |                       |
| California Associations of Highway Patrol          | CHP                    |   |       |   |  |            |                            |   |     |  |     |   |     |   |                       |
| California Correctional Peace Officers Association | CPO                    |   |       |   |  |            |                            |   |     |  |     |   |     |   |                       |
| Peace Officers Research Association of California  | POR                    |   |       |   |  |            |                            |   |     |  |     |   |     |   |                       |
| 55   | Medical Plan Selection | <p><b>Description:</b><br/>Used to select a medical plan</p> <p><b>Explanation:</b></p>   | C     | xxx<br><br>The list of medical plans and their associated three digit code values will not be changed from their current values.  |  | 3          | No Difference              |   |     |  |     |   |     |   |                       |

| # | DATA ELEMENT NAME | DESCRIPTION OF SUBMITTED DATA  | R/O/C | FIELD VALUES  | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|---|-------------------|--|-------|---|------------|----------------------------|
|   |                   | <p>The list of medical plans will be updated by CalPERS and distributed, on an as-needed- basis annually</p> <p>If updating or changing dependent address, this field need not be completed</p> <p><b>Required</b> for the following Health Event Types:</p> <ul style="list-style-type: none"> <li>• New Enrollment when Apply to Medical is selected</li> <li>• Change Health Plan when Apply to Medical is selected</li> <li>• COBRA New Enrollment when Apply to Medical is selected and Eligibility Basis is COBRA Qual Dependent or COBRA Qual Dependent</li> <li>• Continued</li> </ul> |       | Please continue to report the same medical plan values as you do today. |            |                            |

| # | DATA ELEMENT NAME | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|---|-------------------|---|-------|--------------|------------|----------------------------|
|   |                   | <p>Enrollment when Apply to Medical is selected</p> <ul style="list-style-type: none"> <li>Open Enrollment when Apply to Medical is selected and Health Event Reason is New Enrollment OR Change Plans</li> <li>Change Cov Type: Required if Apply to Medical is selected</li> </ul> <p><b>Note:</b><br/>In COMET, pre-my CalPERS, the Medical Plan is entered as a four digit code with the forth digit indicating if the plan is to include member only, member + one dependent or member + family. In my CalPERS this fourth digit is not needed and will cause a level 1 error if a fourth digit is included for this data element in the XML file.</p> |       |              |            |                            |



| #  | DATA ELEMENT NAME  | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES   | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|--|---|-------|--|------------|----------------------------|
| 56 | Dental Plan Selection<br>(placeholder data tied to future legislation) | <p><b>Description:</b><br/>If dental becomes an option in the future, this would be used to select a dental plan</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required</b> when Apply Change to Dental is True for the following Health Event Types:</p> <ul style="list-style-type: none"> <li>• New Enrollment</li> <li>• Change Health Plan</li> <li>• Continued Enrollment</li> </ul> <p><b>Required</b> under the Health Event Type COBRA New Enrollment and Continued Enrollment under the following conditions:<br/>Apply Change to Dental is selected as True and Eligibility Basis is COBRA Qual</p> | C     | <p>xxx</p> <p>This element is not currently needed and is indicated here only as a placeholder for future legislation.</p> | 3          | No Current Equivalent      |

| #  | DATA ELEMENT NAME  | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES   | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|--|---|-------|--|------------|----------------------------|
|    |  | <p>Subscriber or COBRA Qualifying Dependent</p> <p><b>Required</b> for Health Event Type Open Enrollment when Apply Change to Dental is True and the Health Event Reason: is:</p> <ul style="list-style-type: none"> <li>• New Enrollment, or</li> <li>• Change Health Plan</li> </ul> <p><b>Note:</b><br/>This element is not currently needed and is indicated here only as a placeholder for future legislation.</p> |       |  |            |                            |
| 57 | Vision Plan Selection<br>(placeholder data tied to future legislation) | <p><b>Description:</b><br/>If vision becomes an option in the future, this would be used to select a vision plan</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required</b> when Apply</p>   | C     | <p>xxx</p> <p>This element is not currently needed and is indicated here only as a placeholder for future legislation.</p> | 3          | No Current Equivalent      |

| # | DATA<br>ELEMENT<br>NAME | DESCRIPTION OF<br>SUBMITTED DATA   | R/O/C | FIELD VALUES | MAX<br>LENGTH | LEGACY (ACES)<br>FIELD VALUES |
|---|-------------------------|--|-------|--------------|---------------|-------------------------------|
|   |                         | <p>Change to Vision is True for the following Health Event Types:</p> <ul style="list-style-type: none"> <li>• New Enrollment</li> <li>• Change Health Plan</li> <li>• Continued Enrollment</li> </ul> <p><b>Required</b> under the Health Event Type COBRA New Enrollment and Continued Enrollment under the following conditions:<br/>Apply Change to Vision is selected as True and Eligibility Basis is COBRA Qual Subscriber or COBRA Qualifying Dependent</p> <p><b>Required</b> for Health Event Type Open Enrollment when Apply Change to Vision is True and the Health Event Reason: is:</p> <ul style="list-style-type: none"> <li>• New Enrollment, or</li> </ul> |       |              |               |                               |

| #                      | DATA ELEMENT NAME         | DESCRIPTION OF SUBMITTED DATA  | R/O/C | FIELD VALUES  | MAX LENGTH | LEGACY (ACES) FIELD VALUES |                        |     |                        |     |   |                       |
|------------------------|---------------------------|--|-------|---|------------|----------------------------|------------------------|-----|------------------------|-----|---|-----------------------|
|                        |                           | <ul style="list-style-type: none"><li>Change Health Plan</li></ul> <p><b>Note:</b><br/>This element is not currently needed and is indicated here only as a placeholder for future legislation.</p>  |       |   |            |                            |                        |     |                        |     |   |                       |
| 58                     | Dependent Identifier Type | <p><b>Description:</b><br/>The type of person identifier available for the dependent</p> <p><b>Explanation:</b><br/>Type of unique employee identifier. On first report of an employee, this can be SSN. On all subsequent transactions for the employee, this will be the Dependent Identifier Type CalPERS ID</p> <p><b>Required for</b></p> | C     | <table><tr><th>LONG NAME</th><th>CODE VALUE</th></tr><tr><td>Social Security Number</td><td>SSN</td></tr><tr><td>CalPERS Identification</td><td>PID</td></tr></table> | LONG NAME  | CODE VALUE                 | Social Security Number | SSN | CalPERS Identification | PID | 3 | No Current Equivalent |
| LONG NAME              | CODE VALUE                |  |       |   |            |                            |                        |     |                        |     |   |                       |
| Social Security Number | SSN                       |  |       |   |            |                            |                        |     |                        |     |   |                       |
| CalPERS Identification | PID                       |  |       |   |            |                            |                        |     |                        |     |   |                       |

| # | DATA<br>ELEMENT<br>NAME | DESCRIPTION OF<br>SUBMITTED DATA  | R/O/C | FIELD VALUES | MAX<br>LENGTH | LEGACY (ACES)<br>FIELD VALUES |
|---|-------------------------|---|-------|--------------|---------------|-------------------------------|
|   |                         | <ul style="list-style-type: none"> <li>• New Enrollment when Dependent Relationship is Spouse or Domestic Partner</li> <li>• Add Dependent when Dependent Relationship is Spouse or Domestic Partner</li> <li>• Delete Dependent</li> <li>• COBRA New Enrollment when Dependent Relationship is Spouse or Domestic Partner and Eligibility Basis is COBRA Qual Subscriber or COBRA Qual Dependent</li> <li>• Dependent Address Change</li> <li>• Change Coverage Type when dependent's coverage type has changed</li> </ul> |       |              |               |                               |

| #  | DATA ELEMENT NAME    | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES                      | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|----------------------|---|-------|-----------------------------------|------------|----------------------------|
|    |                      | <b>Note:</b><br>No notable information  |       |                                   |            |                            |
| 59 | Dependent Identifier | <b>Description:</b><br>Type of unique identifier<br><br><b>Explanation:</b><br>If SSN is selected as the ID type, the number should be submitted using the following format: <ul style="list-style-type: none"> <li>• The Social Security Number must be nine digits</li> <li>• Social Security Numbers cannot start with 8, 9, or 666</li> <li>• Each section of the Social Security Number cannot be all zeroes (i.e., 000#####, ###00####, and #####0000 are each prohibited)</li> </ul> <b>Required for</b> | C     | ##### (SSN)<br>##### (CalPERS ID) | 10         | SSN                        |

| # | DATA ELEMENT NAME | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|---|-------------------|---|-------|--------------|------------|----------------------------|
|   |                   | <ul style="list-style-type: none"> <li>• New Enrollment when Dependent Relationship is Spouse or Domestic Partner</li> <li>• Add Dependent when Dependent Relationship is Spouse or Domestic Partner</li> <li>• Delete Dependent</li> <li>• COBRA New Enrollment when Dependent Relationship is Spouse or Domestic Partner and Eligibility Basis is COBRA Qual Subscriber or COBRA Qual Dependent</li> <li>• Dependent Address Change</li> <li>• Change Coverage Type when dependent's coverage type has changed</li> </ul> |       |              |            |                            |

| #         | DATA ELEMENT NAME | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES   | MAX LENGTH | LEGACY (ACES) FIELD VALUES |      |   |        |   |         |   |   |               |
|-----------|-------------------|---|-------|--|------------|----------------------------|------|---|--------|---|---------|---|---|---------------|
|           |                   | <b>Note:</b><br>The CalPERS ID, which is 10-digits, will be created and stored by the new system during enrollment, and will be used to identify participants when data is shared with CalPERS. It will be used in place of a Social Security Number in subsequent enrollment files. Prior to system Go-Live, CalPERS will send employers a file with the CalPERS IDs for each of their existing employees and dependents |       |  |            |                            |      |   |        |   |         |   |   |               |
| 60        | Dependent Gender  | <b>Description:</b><br>The dependent's gender<br><br><b>Explanation:</b><br>See description.<br><br><b>Required</b> if dependent  | C     | <table><tr><th>LONG NAME</th><th>CODE VALUE</th></tr><tr><td>Male</td><td>M</td></tr><tr><td>Female</td><td>F</td></tr><tr><td>Unknown</td><td>U</td></tr></table> | LONG NAME  | CODE VALUE                 | Male | M | Female | F | Unknown | U | 3 | No Difference |
| LONG NAME | CODE VALUE        |   |       |  |            |                            |      |   |        |   |         |   |   |               |
| Male      | M                 |   |       |  |            |                            |      |   |        |   |         |   |   |               |
| Female    | F                 |   |       |  |            |                            |      |   |        |   |         |   |   |               |
| Unknown   | U                 |   |       |  |            |                            |      |   |        |   |         |   |   |               |



| #  | DATA ELEMENT NAME | DESCRIPTION OF SUBMITTED DATA  | R/O/C | FIELD VALUES | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|-------------------|--|-------|--------------|------------|----------------------------|
|    |                   | is being added to enrollment.<br><br><b>Note:</b><br>No notable information  |       |              |            |                            |
| 61 | Dependent DOB     | <b>Description:</b><br>The dependent's date of birth<br><br><b>Explanation:</b><br>See description<br><br><b>Required</b> if dependent is being added to enrollment.<br><br><b>Note:</b><br>No notable information | C     | yyyy-mm-dd   | 10         | No Difference              |

| #  | DATA<br>ELEMENT<br>NAME | DESCRIPTION OF<br>SUBMITTED DATA  | R/O/C | FIELD VALUES          | MAX<br>LENGTH | LEGACY (ACES)<br>FIELD VALUES |
|----|-------------------------|---|-------|-----------------------|---------------|-------------------------------|
| 62 | Dependent First<br>Name | <p><b>Description:</b><br/>The dependent's first<br/>name</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required</b> if dependent<br/>is being added to<br/>enrollment.</p> <p><b>Note:</b><br/>Only Alpha characters,<br/>blank spaces, hyphens<br/>(-), and apostrophes (')<br/>will be accepted</p> | C     | xxxxxxxxxxxxxxxxxxxxx | 20            | No Difference                 |

| #  | DATA ELEMENT NAME     | DESCRIPTION OF SUBMITTED DATA  | R/O/C | FIELD VALUES           | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|-----------------------|--|-------|------------------------|------------|----------------------------|
| 63 | Dependent Middle Name | <p><b>Description:</b><br/>The dependent's middle name</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required:</b><br/>No required data</p> <p><b>Note:</b><br/>Alpha characters only and will allow blank spaces, hyphens (-), and apostrophes (')</p> | O     | xxxxxxxxxxxxxxxxxxxxxx | 20         | No Difference              |

| #  | DATA<br>ELEMENT<br>NAME | DESCRIPTION OF<br>SUBMITTED DATA   | R/O/C | FIELD VALUES                 | MAX<br>LENGTH | LEGACY (ACES)<br>FIELD VALUES |
|----|-------------------------|--|-------|------------------------------|---------------|-------------------------------|
| 64 | Dependent Last<br>Name  | <p><b>Description:</b><br/>The dependent's last name</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required</b> if dependent is being added to enrollment.</p> <p><b>Note:</b><br/>Only alpha characters, blank spaces, hyphens (-), and apostrophes (') will be accepted.</p> <ul style="list-style-type: none"> <li>• Minimum of one alpha character.</li> <li>• Cannot begin with a blank space</li> </ul> | C     | xxxxxxxxxxxxxxxxxxxxxxxxxxxx | 30            | No Difference                 |

| #         | DATA ELEMENT NAME | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES  | MAX LENGTH | LEGACY (ACES) FIELD VALUES |        |    |        |    |       |   |        |    |       |     |        |    |       |   |      |     |    |    |     |     |      |     |      |     |     |     |   |               |
|-----------|-------------------|---|-------|---|------------|----------------------------|--------|----|--------|----|-------|---|--------|----|-------|-----|--------|----|-------|---|------|-----|----|----|-----|-----|------|-----|------|-----|-----|-----|---|---------------|
| 65        | Dependent Suffix  | <p><b>Description:</b><br/>The dependent's suffix, if applicable</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required:</b><br/>No required data</p> <p><b>Note:</b><br/>No notable information</p> | O     | <table><thead><tr><th>LONG NAME</th><th>CODE VALUE</th></tr></thead><tbody><tr><td>Senior</td><td>SR</td></tr><tr><td>Junior</td><td>JR</td></tr><tr><td>First</td><td>I</td></tr><tr><td>Second</td><td>II</td></tr><tr><td>Third</td><td>III</td></tr><tr><td>Fourth</td><td>IV</td></tr><tr><td>Fifth</td><td>V</td></tr><tr><td>Ph.D</td><td>PHD</td></tr><tr><td>MD</td><td>MD</td></tr><tr><td>CPA</td><td>CPA</td></tr><tr><td>Ed.D</td><td>EDD</td></tr><tr><td>Esq.</td><td>ESQ</td></tr><tr><td>DDS</td><td>DDS</td></tr></tbody></table> | LONG NAME  | CODE VALUE                 | Senior | SR | Junior | JR | First | I | Second | II | Third | III | Fourth | IV | Fifth | V | Ph.D | PHD | MD | MD | CPA | CPA | Ed.D | EDD | Esq. | ESQ | DDS | DDS | 3 | No Difference |
| LONG NAME | CODE VALUE        |   |       |   |            |                            |        |    |        |    |       |   |        |    |       |     |        |    |       |   |      |     |    |    |     |     |      |     |      |     |     |     |   |               |
| Senior    | SR                |   |       |   |            |                            |        |    |        |    |       |   |        |    |       |     |        |    |       |   |      |     |    |    |     |     |      |     |      |     |     |     |   |               |
| Junior    | JR                |   |       |   |            |                            |        |    |        |    |       |   |        |    |       |     |        |    |       |   |      |     |    |    |     |     |      |     |      |     |     |     |   |               |
| First     | I                 |   |       |   |            |                            |        |    |        |    |       |   |        |    |       |     |        |    |       |   |      |     |    |    |     |     |      |     |      |     |     |     |   |               |
| Second    | II                |   |       |   |            |                            |        |    |        |    |       |   |        |    |       |     |        |    |       |   |      |     |    |    |     |     |      |     |      |     |     |     |   |               |
| Third     | III               |   |       |   |            |                            |        |    |        |    |       |   |        |    |       |     |        |    |       |   |      |     |    |    |     |     |      |     |      |     |     |     |   |               |
| Fourth    | IV                |   |       |   |            |                            |        |    |        |    |       |   |        |    |       |     |        |    |       |   |      |     |    |    |     |     |      |     |      |     |     |     |   |               |
| Fifth     | V                 |   |       |   |            |                            |        |    |        |    |       |   |        |    |       |     |        |    |       |   |      |     |    |    |     |     |      |     |      |     |     |     |   |               |
| Ph.D      | PHD               |   |       |   |            |                            |        |    |        |    |       |   |        |    |       |     |        |    |       |   |      |     |    |    |     |     |      |     |      |     |     |     |   |               |
| MD        | MD                |   |       |   |            |                            |        |    |        |    |       |   |        |    |       |     |        |    |       |   |      |     |    |    |     |     |      |     |      |     |     |     |   |               |
| CPA       | CPA               |   |       |   |            |                            |        |    |        |    |       |   |        |    |       |     |        |    |       |   |      |     |    |    |     |     |      |     |      |     |     |     |   |               |
| Ed.D      | EDD               |   |       |   |            |                            |        |    |        |    |       |   |        |    |       |     |        |    |       |   |      |     |    |    |     |     |      |     |      |     |     |     |   |               |
| Esq.      | ESQ               |   |       |   |            |                            |        |    |        |    |       |   |        |    |       |     |        |    |       |   |      |     |    |    |     |     |      |     |      |     |     |     |   |               |
| DDS       | DDS               |   |       |   |            |                            |        |    |        |    |       |   |        |    |       |     |        |    |       |   |      |     |    |    |     |     |      |     |      |     |     |     |   |               |

| #  | DATA ELEMENT NAME                  | DESCRIPTION OF SUBMITTED DATA  | R/O/C | FIELD VALUES   | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|------------------------------------|--|-------|--|------------|----------------------------|
| 66 | Date of Marriage/<br>Partnership   | <p><b>Description:</b><br/>The date the dependent became a spouse/domestic partner of the primary subscriber</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required</b> for the following Health Event Types when Dependent Identifier is supplied and Dependent Relationship is Spouse or Domestic Partner:</p> <ul style="list-style-type: none"> <li>• New Enrollment</li> <li>• Add Dependent</li> <li>• COBRA New Enrollment</li> </ul> <p><b>Note:</b><br/>No notable information</p> | C     | yyyy-mm-dd   | 10         | No Difference              |
| 67 | Address Same as Primary Subscriber | <p><b>Description:</b><br/>Indicates if the dependent's address is the same as the primary subscriber</p>  | C     | true / false<br>(Must be entered in xml as all lower case) | 5          | No Current Equivalent      |

| # | DATA<br>ELEMENT<br>NAME | DESCRIPTION OF<br>SUBMITTED DATA  | R/O/C | FIELD VALUES | MAX<br>LENGTH | LEGACY (ACES)<br>FIELD VALUES |
|---|-------------------------|---|-------|--------------|---------------|-------------------------------|
|   |                         | <p><b>Explanation:</b><br/>See description</p> <p><b>Required</b> under the following conditions:<br/>If True, and Health Event Type is New Enrollment, then other dependent address information is not needed (only applicable when dependent is added during New Enrollment)</p> <p>If True, and Health Event Type is Add Dependent or Change Dependent Address, then other dependent address information is not needed</p> <p>Data accepted if Health Event Type is COBRA New Enrollment, and Eligibility Basis is COBRA Qual Subscriber</p> |       |              |               |                               |

| # | DATA<br>ELEMENT<br>NAME | DESCRIPTION OF<br>SUBMITTED DATA  | R/O/C | FIELD VALUES | MAX<br>LENGTH | LEGACY (ACES)<br>FIELD VALUES |
|---|-------------------------|---|-------|--------------|---------------|-------------------------------|
|   |                         | <p>Data accepted if Health Event Type is COBRA New Enrollment, and Eligibility Basis is COBRA Qual Dependent; For other Eligibility Basis statuses can only carry over dependents from previous enrollment</p> <p>If True, and Health Event Type is Open Enrollment and Health Event Reason is New Enrollment, then other dependent address information is not needed (only applicable when dependent is added during new enrollment)</p> <p>If True, and Health Event Type is Open Enrollment and Health Event Reason is Add Dependent, then other dependent address</p> |       |              |               |                               |



| #                | DATA ELEMENT NAME      | DESCRIPTION OF SUBMITTED DATA  | R/O/C | FIELD VALUES   | MAX LENGTH | LEGACY (ACES) FIELD VALUES |                 |     |                  |     |   |                       |
|------------------|------------------------|--|-------|--|------------|----------------------------|-----------------|-----|------------------|-----|---|-----------------------|
|                  |                        | information is not needed<br><br><b>Note:</b><br>No notable information  |       |  |            |                            |                 |     |                  |     |   |                       |
| 68               | Dependent Address Type | <b>Description:</b><br>The dependent's type of address<br><br><b>Explanation:</b><br>See description<br><br><b>Required</b> for the following Health Event Types when Address Same as Primary Subscriber is false: <ul style="list-style-type: none"><li>• New Enrollment</li><li>• Cancel Coverage</li><li>• COBRA New Enrollment</li></ul><br><b>Note:</b><br>Only one address type can be submitted with each health enrollment transaction | C     | <table><tr><th>LONG NAME</th><th>CODE VALUE</th></tr><tr><td>Mailing Address</td><td>MAI</td></tr><tr><td>Physical Address</td><td>PHY</td></tr></table> | LONG NAME  | CODE VALUE                 | Mailing Address | MAI | Physical Address | PHY | 3 | No Current Equivalent |
| LONG NAME        | CODE VALUE             |  |       |  |            |                            |                 |     |                  |     |   |                       |
| Mailing Address  | MAI                    |  |       |  |            |                            |                 |     |                  |     |   |                       |
| Physical Address | PHY                    |  |       |  |            |                            |                 |     |                  |     |   |                       |

| #  | DATA ELEMENT NAME   | DESCRIPTION OF SUBMITTED DATA  | R/O/C | FIELD VALUES                          | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|---------------------|--|-------|---------------------------------------|------------|----------------------------|
| 69 | Dependent Address 1 | <p><b>Description:</b><br/>The first address line of the address to be entered.</p> <p><b>Explanation:</b><br/>Typically used for the person's street address or in care of information.</p> <p><b>Required</b> for the following Health Event Types when Address Same as Primary Subscriber is false:</p> <ul style="list-style-type: none"> <li>• New Enrollment</li> <li>• Cancel Coverage</li> </ul> <p>COBRA New Enrollment</p> <p><b>Note:</b><br/>If the address is an apartment or suite number, and cannot fit in Address 1, then use Address 2</p> | C     | Free form text of up to 30 characters | 30         | No Current Equivalent      |

| #  | DATA ELEMENT NAME   | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES                          | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|---------------------|---|-------|---------------------------------------|------------|----------------------------|
| 70 | Dependent Address 2 | <p><b>Description:</b><br/>The second address line</p> <p><b>Explanation:</b><br/>Typically used for the person's street address if address line 1 was used for in care of information; otherwise would be used for address information that does not fit on address line 1, such as; suite number, building name, room number, apartment number, etc</p> <p>Data accepted if Dependent Address 1 is supplied</p> <p><b>Required:</b><br/>No required data</p> <p><b>Note:</b><br/>No notable information</p> | O     | Free form text of up to 30 characters | 30         | No Current Equivalent      |

| #  | DATA ELEMENT NAME   | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES                          | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|---------------------|---|-------|---------------------------------------|------------|----------------------------|
| 71 | Dependent Address 3 | <p><b>Description:</b><br/>The third address line</p> <p><b>Explanation:</b><br/>Typically used for any address data that does not fit on address lines 1 and 2</p> <p>Data accepted if Dependent Address 1 is supplied</p> <p><b>Required:</b><br/>No required data</p> <p><b>Note:</b><br/>No notable information</p> | O     | Free form text of up to 30 characters | 30         | No Current Equivalent      |

| #  | DATA ELEMENT NAME | DESCRIPTION OF SUBMITTED DATA  | R/O/C | FIELD VALUES                          | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|-------------------|--|-------|---------------------------------------|------------|----------------------------|
| 72 | Dependent City    | <p><b>Description:</b><br/>The city applicable to the address entered</p> <p><b>Required:</b><br/>When transaction type is Address Change</p> <p><b>Required:</b><br/>When Dependent Address 1 is provided</p> <p><b>Note:</b><br/>Data element accepts alpha and numeric characters</p> | C     | Free form text of up to 30 characters | 30         | No Current Equivalent      |

| #  | DATA ELEMENT NAME | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES              | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|-------------------|---|-------|---------------------------|------------|----------------------------|
| 73 | Dependent State   | <p><b>Description:</b><br/>The code value for the state applicable to the Dependent address entered, if country selected is United States of America (USA) or Mexico</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required</b> if Dependent Country is USA or Mexico and Dependent Address 1 is supplied</p> <p><b>Note:</b><br/>No notable information</p> | C     | See Appendix A, Section 3 | 3          | No Current Equivalent      |

| #  | DATA ELEMENT NAME    | DESCRIPTION OF SUBMITTED DATA  | R/O/C | FIELD VALUES | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|----------------------|--|-------|--------------|------------|----------------------------|
| 74 | Dependent ZIP Code 5 | <p><b>Description:</b><br/>The first five digits of the zip code for the address designated in Address Type</p> <p><b>Explanation:</b><br/>If Dependent Country is USA, the following are required:</p> <ul style="list-style-type: none"> <li>• Use numeric format</li> <li>• The first five numbers of the ZIP Code</li> </ul> <p><b>Required</b> if Dependent Country is USA and Dependent Address 1 is supplied</p> <p><b>Note:</b><br/>No notable information</p> | C     | #####        | 5          | No Current Equivalent      |

| #  | DATA ELEMENT NAME    | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES              | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|----------------------|---|-------|---------------------------|------------|----------------------------|
| 75 | Dependent ZIP Code 4 | <p><b>Description:</b><br/>The next four digits of the zip code or the address designated in Address Type</p> <p><b>Explanation:</b><br/>Data accepted if Dependent ZIP Code – 5 digits is supplied</p> <p><b>Required:</b><br/>No required data</p> <p><b>Note:</b><br/>No notable information</p> | O     | ####                      | 4          | No Current Equivalent      |
| 76 | Dependent Country    | <p><b>Description:</b><br/>The code value for the country</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required</b> if: Dependent Address 1 is provided</p> <p><b>Note:</b><br/>No notable information</p>  | C     | See Appendix A, Section 4 | 3          | No Current Equivalent      |



| #                     | DATA ELEMENT NAME                | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES  |           | MAX LENGTH | LEGACY (ACES) FIELD VALUES |    |                  |    |          |    |               |    |              |    |                       |    |             |    |         |    |                      |    |        |    |              |    |       |    |    |                       |
|-----------------------|----------------------------------|---|-------|---|-----------|------------|----------------------------|----|------------------|----|----------|----|---------------|----|--------------|----|-----------------------|----|-------------|----|---------|----|----------------------|----|--------|----|--------------|----|-------|----|----|-----------------------|
| 77                    | Dependent Province/<br>Territory | <p><b>Description:</b><br/>The province or territory which coincides with the Address Type</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required:</b><br/>If the Dependent Country provided is Canada and Dependent Address 1 is supplied</p> <p><b>Note:</b><br/>If Dependent Country is not USA, Mexico, or Canada, then Dependent Province is optional for New Enrollment, Add Dependent, COBRA New Enrollment and Dependent Address Change and can be submitted through free form text.</p> | C     | <table><tr><th>LONG NAME</th><th>CODE VALUE</th></tr><tr><td>Alberta</td><td>AB</td></tr><tr><td>British Columbia</td><td>BC</td></tr><tr><td>Manitoba</td><td>MB</td></tr><tr><td>New Brunswick</td><td>NB</td></tr><tr><td>Newfoundland</td><td>NF</td></tr><tr><td>Northwest Territories</td><td>NT</td></tr><tr><td>Nova Scotia</td><td>NS</td></tr><tr><td>Ontario</td><td>ON</td></tr><tr><td>Prince Edward Island</td><td>PE</td></tr><tr><td>Quebec</td><td>PQ</td></tr><tr><td>Saskatchewan</td><td>SK</td></tr><tr><td>Yukon</td><td>YT</td></tr></table> | LONG NAME | CODE VALUE | Alberta                    | AB | British Columbia | BC | Manitoba | MB | New Brunswick | NB | Newfoundland | NF | Northwest Territories | NT | Nova Scotia | NS | Ontario | ON | Prince Edward Island | PE | Quebec | PQ | Saskatchewan | SK | Yukon | YT | 50 | No Current Equivalent |
| LONG NAME             | CODE VALUE                       |   |       |   |           |            |                            |    |                  |    |          |    |               |    |              |    |                       |    |             |    |         |    |                      |    |        |    |              |    |       |    |    |                       |
| Alberta               | AB                               |   |       |   |           |            |                            |    |                  |    |          |    |               |    |              |    |                       |    |             |    |         |    |                      |    |        |    |              |    |       |    |    |                       |
| British Columbia      | BC                               |   |       |   |           |            |                            |    |                  |    |          |    |               |    |              |    |                       |    |             |    |         |    |                      |    |        |    |              |    |       |    |    |                       |
| Manitoba              | MB                               |   |       |   |           |            |                            |    |                  |    |          |    |               |    |              |    |                       |    |             |    |         |    |                      |    |        |    |              |    |       |    |    |                       |
| New Brunswick         | NB                               |   |       |   |           |            |                            |    |                  |    |          |    |               |    |              |    |                       |    |             |    |         |    |                      |    |        |    |              |    |       |    |    |                       |
| Newfoundland          | NF                               |   |       |   |           |            |                            |    |                  |    |          |    |               |    |              |    |                       |    |             |    |         |    |                      |    |        |    |              |    |       |    |    |                       |
| Northwest Territories | NT                               |   |       |   |           |            |                            |    |                  |    |          |    |               |    |              |    |                       |    |             |    |         |    |                      |    |        |    |              |    |       |    |    |                       |
| Nova Scotia           | NS                               |   |       |   |           |            |                            |    |                  |    |          |    |               |    |              |    |                       |    |             |    |         |    |                      |    |        |    |              |    |       |    |    |                       |
| Ontario               | ON                               |   |       |   |           |            |                            |    |                  |    |          |    |               |    |              |    |                       |    |             |    |         |    |                      |    |        |    |              |    |       |    |    |                       |
| Prince Edward Island  | PE                               |   |       |   |           |            |                            |    |                  |    |          |    |               |    |              |    |                       |    |             |    |         |    |                      |    |        |    |              |    |       |    |    |                       |
| Quebec                | PQ                               |   |       |   |           |            |                            |    |                  |    |          |    |               |    |              |    |                       |    |             |    |         |    |                      |    |        |    |              |    |       |    |    |                       |
| Saskatchewan          | SK                               |   |       |   |           |            |                            |    |                  |    |          |    |               |    |              |    |                       |    |             |    |         |    |                      |    |        |    |              |    |       |    |    |                       |
| Yukon                 | YT                               |   |       |   |           |            |                            |    |                  |    |          |    |               |    |              |    |                       |    |             |    |         |    |                      |    |        |    |              |    |       |    |    |                       |

| #  | DATA ELEMENT NAME     | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES                          | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|-----------------------|---|-------|---------------------------------------|------------|----------------------------|
| 78 | Dependent Postal Code | <p><b>Description:</b><br/>The International Postal Code</p> <p><b>Explanation:</b><br/>The International Postal Code is alphanumeric</p> <p><b>Required</b> if Dependent Country is not USA and Dependent Address 1 is supplied</p> <p><b>Note:</b><br/>No notable information</p> | C     | Free form text of up to 12 characters | 12         | No Current Equivalent      |

| #                            | DATA ELEMENT NAME      | DESCRIPTION OF SUBMITTED DATA  | R/O/C | FIELD VALUES   |           | MAX LENGTH | LEGACY (ACES) FIELD VALUES |     |                  |    |         |     |        |     |       |     |        |     |            |    |       |     |            |    |                        |     |                 |    |                  |    |        |     |              |    |               |     |   |  |           |            |        |   |       |   |            |   |                              |   |               |   |                  |   |                        |   |         |    |        |    |
|------------------------------|------------------------|--|-------|--|-----------|------------|----------------------------|-----|------------------|----|---------|-----|--------|-----|-------|-----|--------|-----|------------|----|-------|-----|------------|----|------------------------|-----|-----------------|----|------------------|----|--------|-----|--------------|----|---------------|-----|---|--|-----------|------------|--------|---|-------|---|------------|---|------------------------------|---|---------------|---|------------------|---|------------------------|---|---------|----|--------|----|
| 79                           | Dependent Relationship | <p><b>Description:</b><br/>The dependent's relationship to the primary subscriber</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required</b> for the following Health Event Types:</p> <ul style="list-style-type: none"><li>○ Add Dependent</li><li>○ New Enrollment if dependent is being added to enrollment</li><li>○ COBRA New Enrollment if dependent is being added to enrollment</li></ul> <p><b>Note:</b><br/>No notable information</p> | C     | <table><tr><th>LONG NAME</th><th>CODE VALUE</th></tr><tr><td>Spouse</td><td>SPO</td></tr><tr><td>Domestic Partner</td><td>DP</td></tr><tr><td>Brother</td><td>BRO</td></tr><tr><td>Sister</td><td>SIS</td></tr><tr><td>Niece</td><td>NIE</td></tr><tr><td>Nephew</td><td>NEP</td></tr><tr><td>Grandchild</td><td>GC</td></tr><tr><td>Child</td><td>CHI</td></tr><tr><td>Step Child</td><td>SC</td></tr><tr><td>Domestic Partner Child</td><td>DPC</td></tr><tr><td>Step Grandchild</td><td>SG</td></tr><tr><td>Great Grandchild</td><td>GG</td></tr><tr><td>Cousin</td><td>COU</td></tr><tr><td>Other Person</td><td>OP</td></tr><tr><td>Adopted Child</td><td>ADC</td></tr></table> | LONG NAME | CODE VALUE | Spouse                     | SPO | Domestic Partner | DP | Brother | BRO | Sister | SIS | Niece | NIE | Nephew | NEP | Grandchild | GC | Child | CHI | Step Child | SC | Domestic Partner Child | DPC | Step Grandchild | SG | Great Grandchild | GG | Cousin | COU | Other Person | OP | Adopted Child | ADC | 3 | <table><tr><th>LONG NAME</th><th>CODE VALUE</th></tr><tr><td>Spouse</td><td>1</td></tr><tr><td>Child</td><td>2</td></tr><tr><td>Step Child</td><td>3</td></tr><tr><td>Economically dependent child</td><td>4</td></tr><tr><td>Adopted Child</td><td>5</td></tr><tr><td>Domestic Partner</td><td>6</td></tr><tr><td>Domestic Partner Child</td><td>7</td></tr><tr><td>Brother</td><td>15</td></tr><tr><td>Sister</td><td>15</td></tr></table> | LONG NAME | CODE VALUE | Spouse | 1 | Child | 2 | Step Child | 3 | Economically dependent child | 4 | Adopted Child | 5 | Domestic Partner | 6 | Domestic Partner Child | 7 | Brother | 15 | Sister | 15 |
| LONG NAME                    | CODE VALUE             |  |       |  |           |            |                            |     |                  |    |         |     |        |     |       |     |        |     |            |    |       |     |            |    |                        |     |                 |    |                  |    |        |     |              |    |               |     |   |  |           |            |        |   |       |   |            |   |                              |   |               |   |                  |   |                        |   |         |    |        |    |
| Spouse                       | SPO                    |  |       |  |           |            |                            |     |                  |    |         |     |        |     |       |     |        |     |            |    |       |     |            |    |                        |     |                 |    |                  |    |        |     |              |    |               |     |   |  |           |            |        |   |       |   |            |   |                              |   |               |   |                  |   |                        |   |         |    |        |    |
| Domestic Partner             | DP                     |  |       |  |           |            |                            |     |                  |    |         |     |        |     |       |     |        |     |            |    |       |     |            |    |                        |     |                 |    |                  |    |        |     |              |    |               |     |   |  |           |            |        |   |       |   |            |   |                              |   |               |   |                  |   |                        |   |         |    |        |    |
| Brother                      | BRO                    |  |       |  |           |            |                            |     |                  |    |         |     |        |     |       |     |        |     |            |    |       |     |            |    |                        |     |                 |    |                  |    |        |     |              |    |               |     |   |  |           |            |        |   |       |   |            |   |                              |   |               |   |                  |   |                        |   |         |    |        |    |
| Sister                       | SIS                    |  |       |  |           |            |                            |     |                  |    |         |     |        |     |       |     |        |     |            |    |       |     |            |    |                        |     |                 |    |                  |    |        |     |              |    |               |     |   |  |           |            |        |   |       |   |            |   |                              |   |               |   |                  |   |                        |   |         |    |        |    |
| Niece                        | NIE                    |  |       |  |           |            |                            |     |                  |    |         |     |        |     |       |     |        |     |            |    |       |     |            |    |                        |     |                 |    |                  |    |        |     |              |    |               |     |   |  |           |            |        |   |       |   |            |   |                              |   |               |   |                  |   |                        |   |         |    |        |    |
| Nephew                       | NEP                    |  |       |  |           |            |                            |     |                  |    |         |     |        |     |       |     |        |     |            |    |       |     |            |    |                        |     |                 |    |                  |    |        |     |              |    |               |     |   |  |           |            |        |   |       |   |            |   |                              |   |               |   |                  |   |                        |   |         |    |        |    |
| Grandchild                   | GC                     |  |       |  |           |            |                            |     |                  |    |         |     |        |     |       |     |        |     |            |    |       |     |            |    |                        |     |                 |    |                  |    |        |     |              |    |               |     |   |  |           |            |        |   |       |   |            |   |                              |   |               |   |                  |   |                        |   |         |    |        |    |
| Child                        | CHI                    |  |       |  |           |            |                            |     |                  |    |         |     |        |     |       |     |        |     |            |    |       |     |            |    |                        |     |                 |    |                  |    |        |     |              |    |               |     |   |  |           |            |        |   |       |   |            |   |                              |   |               |   |                  |   |                        |   |         |    |        |    |
| Step Child                   | SC                     |  |       |  |           |            |                            |     |                  |    |         |     |        |     |       |     |        |     |            |    |       |     |            |    |                        |     |                 |    |                  |    |        |     |              |    |               |     |   |  |           |            |        |   |       |   |            |   |                              |   |               |   |                  |   |                        |   |         |    |        |    |
| Domestic Partner Child       | DPC                    |  |       |  |           |            |                            |     |                  |    |         |     |        |     |       |     |        |     |            |    |       |     |            |    |                        |     |                 |    |                  |    |        |     |              |    |               |     |   |  |           |            |        |   |       |   |            |   |                              |   |               |   |                  |   |                        |   |         |    |        |    |
| Step Grandchild              | SG                     |  |       |  |           |            |                            |     |                  |    |         |     |        |     |       |     |        |     |            |    |       |     |            |    |                        |     |                 |    |                  |    |        |     |              |    |               |     |   |  |           |            |        |   |       |   |            |   |                              |   |               |   |                  |   |                        |   |         |    |        |    |
| Great Grandchild             | GG                     |  |       |  |           |            |                            |     |                  |    |         |     |        |     |       |     |        |     |            |    |       |     |            |    |                        |     |                 |    |                  |    |        |     |              |    |               |     |   |  |           |            |        |   |       |   |            |   |                              |   |               |   |                  |   |                        |   |         |    |        |    |
| Cousin                       | COU                    |  |       |  |           |            |                            |     |                  |    |         |     |        |     |       |     |        |     |            |    |       |     |            |    |                        |     |                 |    |                  |    |        |     |              |    |               |     |   |  |           |            |        |   |       |   |            |   |                              |   |               |   |                  |   |                        |   |         |    |        |    |
| Other Person                 | OP                     |  |       |  |           |            |                            |     |                  |    |         |     |        |     |       |     |        |     |            |    |       |     |            |    |                        |     |                 |    |                  |    |        |     |              |    |               |     |   |  |           |            |        |   |       |   |            |   |                              |   |               |   |                  |   |                        |   |         |    |        |    |
| Adopted Child                | ADC                    |  |       |  |           |            |                            |     |                  |    |         |     |        |     |       |     |        |     |            |    |       |     |            |    |                        |     |                 |    |                  |    |        |     |              |    |               |     |   |  |           |            |        |   |       |   |            |   |                              |   |               |   |                  |   |                        |   |         |    |        |    |
| LONG NAME                    | CODE VALUE             |  |       |  |           |            |                            |     |                  |    |         |     |        |     |       |     |        |     |            |    |       |     |            |    |                        |     |                 |    |                  |    |        |     |              |    |               |     |   |  |           |            |        |   |       |   |            |   |                              |   |               |   |                  |   |                        |   |         |    |        |    |
| Spouse                       | 1                      |  |       |  |           |            |                            |     |                  |    |         |     |        |     |       |     |        |     |            |    |       |     |            |    |                        |     |                 |    |                  |    |        |     |              |    |               |     |   |  |           |            |        |   |       |   |            |   |                              |   |               |   |                  |   |                        |   |         |    |        |    |
| Child                        | 2                      |  |       |  |           |            |                            |     |                  |    |         |     |        |     |       |     |        |     |            |    |       |     |            |    |                        |     |                 |    |                  |    |        |     |              |    |               |     |   |  |           |            |        |   |       |   |            |   |                              |   |               |   |                  |   |                        |   |         |    |        |    |
| Step Child                   | 3                      |  |       |  |           |            |                            |     |                  |    |         |     |        |     |       |     |        |     |            |    |       |     |            |    |                        |     |                 |    |                  |    |        |     |              |    |               |     |   |  |           |            |        |   |       |   |            |   |                              |   |               |   |                  |   |                        |   |         |    |        |    |
| Economically dependent child | 4                      |  |       |  |           |            |                            |     |                  |    |         |     |        |     |       |     |        |     |            |    |       |     |            |    |                        |     |                 |    |                  |    |        |     |              |    |               |     |   |  |           |            |        |   |       |   |            |   |                              |   |               |   |                  |   |                        |   |         |    |        |    |
| Adopted Child                | 5                      |  |       |  |           |            |                            |     |                  |    |         |     |        |     |       |     |        |     |            |    |       |     |            |    |                        |     |                 |    |                  |    |        |     |              |    |               |     |   |  |           |            |        |   |       |   |            |   |                              |   |               |   |                  |   |                        |   |         |    |        |    |
| Domestic Partner             | 6                      |  |       |  |           |            |                            |     |                  |    |         |     |        |     |       |     |        |     |            |    |       |     |            |    |                        |     |                 |    |                  |    |        |     |              |    |               |     |   |  |           |            |        |   |       |   |            |   |                              |   |               |   |                  |   |                        |   |         |    |        |    |
| Domestic Partner Child       | 7                      |  |       |  |           |            |                            |     |                  |    |         |     |        |     |       |     |        |     |            |    |       |     |            |    |                        |     |                 |    |                  |    |        |     |              |    |               |     |   |  |           |            |        |   |       |   |            |   |                              |   |               |   |                  |   |                        |   |         |    |        |    |
| Brother                      | 15                     |  |       |  |           |            |                            |     |                  |    |         |     |        |     |       |     |        |     |            |    |       |     |            |    |                        |     |                 |    |                  |    |        |     |              |    |               |     |   |  |           |            |        |   |       |   |            |   |                              |   |               |   |                  |   |                        |   |         |    |        |    |
| Sister                       | 15                     |  |       |  |           |            |                            |     |                  |    |         |     |        |     |       |     |        |     |            |    |       |     |            |    |                        |     |                 |    |                  |    |        |     |              |    |               |     |   |  |           |            |        |   |       |   |            |   |                              |   |               |   |                  |   |                        |   |         |    |        |    |

| #                            | DATA ELEMENT NAME   | DESCRIPTION OF SUBMITTED DATA  | R/O/C | FIELD VALUES  |           |                          | MAX LENGTH | LEGACY (ACES) FIELD VALUES   |       |     |                         |       |     |                              |   |     |        |        |     |            |       |     |                  |                  |    |                        |       |     |         |         |     |   |                       |
|------------------------------|---|--|-------|---|-----------|--------------------------|------------|------------------------------|-------|-----|-------------------------|-------|-----|------------------------------|---|-----|--------|--------|-----|------------|-------|-----|------------------|------------------|----|------------------------|-------|-----|---------|---------|-----|---|-----------------------|
| 80                           | Dependent Type  | <p><b>Description:</b><br/>The type of dependent</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required</b> for Health Event Type Add Dependent</p> <p><b>Required</b> if dependent is added during Health Event Type New Enrollment</p> <p><b>Required</b> if Health Event Type is COBRA New Enrollment and Eligibility Basis is COBRA Qual Dependent For other COBRA eligibilities can only carry over dependents from previous enrollment and is required</p> <p><b>Note:</b><br/>No notable information</p> | C     | <table><thead><tr><th>LONG NAME</th><th>PARTICIPANT RELATIONSHIP</th><th>CODE VALUE</th></tr></thead><tbody><tr><td>Dependent Natural Born Child</td><td>Child</td><td>DBC</td></tr><tr><td>Dependent Adopted Child</td><td>Child</td><td>DAC</td></tr><tr><td>Economically Dependent Child</td><td>Child, Niece, Nephew, Grandchild, Step Child, Domestic Partner Child, Step Grandchild, Great Grandchild</td><td>EDC</td></tr><tr><td>Spouse</td><td>Spouse</td><td>SPO</td></tr><tr><td>Step Child</td><td>Child</td><td>STC</td></tr><tr><td>Domestic Partner</td><td>Domestic Partner</td><td>DP</td></tr><tr><td>Domestic Partner Child</td><td>Child</td><td>DPC</td></tr><tr><td>Sibling</td><td>Sibling</td><td>SIB</td></tr></tbody></table> | LONG NAME | PARTICIPANT RELATIONSHIP | CODE VALUE | Dependent Natural Born Child | Child | DBC | Dependent Adopted Child | Child | DAC | Economically Dependent Child | Child, Niece, Nephew, Grandchild, Step Child, Domestic Partner Child, Step Grandchild, Great Grandchild | EDC | Spouse | Spouse | SPO | Step Child | Child | STC | Domestic Partner | Domestic Partner | DP | Domestic Partner Child | Child | DPC | Sibling | Sibling | SIB | 3 | No Current Equivalent |
| LONG NAME                    | PARTICIPANT RELATIONSHIP  | CODE VALUE   |       |   |           |                          |            |                              |       |     |                         |       |     |                              |   |     |        |        |     |            |       |     |                  |                  |    |                        |       |     |         |         |     |   |                       |
| Dependent Natural Born Child | Child   | DBC  |       |   |           |                          |            |                              |       |     |                         |       |     |                              |   |     |        |        |     |            |       |     |                  |                  |    |                        |       |     |         |         |     |   |                       |
| Dependent Adopted Child      | Child   | DAC  |       |   |           |                          |            |                              |       |     |                         |       |     |                              |   |     |        |        |     |            |       |     |                  |                  |    |                        |       |     |         |         |     |   |                       |
| Economically Dependent Child | Child, Niece, Nephew, Grandchild, Step Child, Domestic Partner Child, Step Grandchild, Great Grandchild | EDC  |       |   |           |                          |            |                              |       |     |                         |       |     |                              |   |     |        |        |     |            |       |     |                  |                  |    |                        |       |     |         |         |     |   |                       |
| Spouse                       | Spouse  | SPO  |       |   |           |                          |            |                              |       |     |                         |       |     |                              |   |     |        |        |     |            |       |     |                  |                  |    |                        |       |     |         |         |     |   |                       |
| Step Child                   | Child   | STC  |       |   |           |                          |            |                              |       |     |                         |       |     |                              |   |     |        |        |     |            |       |     |                  |                  |    |                        |       |     |         |         |     |   |                       |
| Domestic Partner             | Domestic Partner  | DP   |       |   |           |                          |            |                              |       |     |                         |       |     |                              |   |     |        |        |     |            |       |     |                  |                  |    |                        |       |     |         |         |     |   |                       |
| Domestic Partner Child       | Child   | DPC  |       |   |           |                          |            |                              |       |     |                         |       |     |                              |   |     |        |        |     |            |       |     |                  |                  |    |                        |       |     |         |         |     |   |                       |
| Sibling                      | Sibling   | SIB  |       |   |           |                          |            |                              |       |     |                         |       |     |                              |   |     |        |        |     |            |       |     |                  |                  |    |                        |       |     |         |         |     |   |                       |

| #  | DATA ELEMENT NAME            | DESCRIPTION OF SUBMITTED DATA  | R/O/C | FIELD VALUES   | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|------------------------------|--|-------|--|------------|----------------------------|
| 81 | Disabled Dependent Indicator | <p><b>Description:</b><br/>Indicates if the added dependent is a disabled, dependent child</p> <p><b>Required:</b><br/>No required data</p> <p>Data accepted for Health Event Type New Enrollment if dependent is added during New Enrollment</p> <p>Data accepted for Health Event Type Add Dependent if Eligibility Basis is COBRA Qual Subscriber</p> <p>Not used for Health Event Type Add Dependent, if Eligibility Basis is COBRA Qual Dependent, or COBRA Qualifying Dependent New Contracting; Can only carry over dependents from</p> | O     | true / false<br>(Must be entered in xml as all lower case) | 5          | No Current Equivalent      |

| # | DATA<br>ELEMENT<br>NAME | DESCRIPTION OF<br>SUBMITTED DATA  | R/O/C | FIELD VALUES | MAX<br>LENGTH | LEGACY (ACES)<br>FIELD VALUES |
|---|-------------------------|---|-------|--------------|---------------|-------------------------------|
|   |                         | <p>previous enrollment</p> <p>Data accepted during<br/>Health Event Type<br/>Open Enrollment for<br/>Health Event Reason<br/>New Enrollment, if<br/>dependent is added<br/>during new enrollment</p> <p><b>Note:</b><br/>No notable information</p> |       |              |               |                               |

| #  | DATA ELEMENT NAME                         | DESCRIPTION OF SUBMITTED DATA  | R/O/C | FIELD VALUES   | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|---|--|-------|--|------------|----------------------------|
| 82 | Disabled Dependent Confirmation Indicator | <p><b>Description:</b><br/>Indicates that the employer understands the disabled dependent enrollment is not confirmed until review by CalPERS</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required</b> if Disabled Dependent Indicator is supplied</p> <p><b>Note:</b><br/>No notable information</p> | C     | true / false<br>(Must be entered in xml as all lower case) | 5          | No Current Equivalent      |

| #  | DATA ELEMENT NAME                             | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES   | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|---|---|-------|--|------------|----------------------------|
| 83 | Economically Dependent Confirmation Indicator | <p><b>Description:</b><br/>Indicates if the economically dependent child was validated</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required</b> for the following Health Event Types if Dependent Type is Economically Dependent Child:</p> <ul style="list-style-type: none"> <li>○ New Enrollment</li> <li>○ Add Dependent</li> <li>○ COBRA New Enrollment</li> </ul> <p><b>Note:</b><br/>No notable information</p> | C     | true / false<br>(Must be entered in xml as all lower case) | 5          | No Current Equivalent      |



| #  | DATA ELEMENT NAME       | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES   | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|-------------------------|---|-------|--|------------|----------------------------|
| 84 | Dependent Acquired Date | <p><b>Description:</b><br/>The date the child was declared economically dependent to the subscriber.</p> <p><b>Explanation:</b><br/>See description.</p> <p><b>Required if</b><br/>Economically Dependent Confirmation indicator is supplied</p> <p><b>Note:</b><br/>No notable information</p> | C     | yyyy-mm-dd   | 10         |                            |
| 85 | Apply to Medical        | <p><b>Description:</b><br/>Indicates if the enrollment transaction should be applied to medical</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required for the</b><br/>following Health Event</p>  | C     | true / false<br>(Must be entered in xml as all lower case) | 5          | No Current Equivalent      |

| # | DATA ELEMENT NAME | DESCRIPTION OF SUBMITTED DATA  | R/O/C | FIELD VALUES | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|---|-------------------|--|-------|--------------|------------|----------------------------|
|   |                   | <p>Types:</p> <ul style="list-style-type: none"> <li>○ New Enrollment if dependent is added</li> <li>○ Add Dependent</li> <li>○ COBRA New Enrollment; only for Eligibility Basis is COBRA Qual Dependent</li> <li>○ Open Enrollment if Health Enrollment Reason is New Enrollment and dependent is added</li> <li>○ Open Enrollment if Health Enrollment Reason is Add Dependent</li> <li>○ Change Cov Type if dependent's coverage type has changed</li> </ul> <p><b>Note:</b><br/>No notable information</p> |       |              |            |                            |

| #  | DATA ELEMENT NAME   | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES   | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|---|---|-------|--|------------|----------------------------|
| 86 | Apply to Dental<br><i>(placeholder data element tied to future legislation)</i> | <p><b>Description:</b><br/>If dental becomes an option in the future, this data element would indicate that the enrollment is applicable to dental benefit type</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required:</b><br/>At least one (Apply to Medical, Apply to Dental, or Apply to Vision) is required for the following Health Transaction Types: New Enrollment, Add Dependent, COBRA New Enrollment and Change Coverage Type</p> <p><b>Note:</b><br/>This element is not currently needed and is indicated here only as a placeholder for future legislation.</p> | C     | <p>true / false<br/>(Must be entered in xml as all lower case)</p> <p>This element is not currently needed and is indicated here only as a placeholder for future legislation.</p> | 5          | No Current Equivalent      |

| #  | DATA ELEMENT NAME   | DESCRIPTION OF SUBMITTED DATA   | R/O/C | FIELD VALUES   | MAX LENGTH | LEGACY (ACES) FIELD VALUES |
|----|---|---|-------|--|------------|----------------------------|
| 87 | Apply to Vision<br><i>(placeholder data element tied to future legislation)</i> | <p><b>Description:</b><br/>If vision becomes an option in the future, this data element would indicate that the enrollment is applicable to vision benefit type</p> <p><b>Explanation:</b><br/>See description</p> <p><b>Required:</b><br/>At least one (Apply to Medical, Apply to Dental, or Apply to Vision) is required for the following Health Transaction Types: New Enrollment, Add Dependent, COBRA New Enrollment and Change Coverage Type</p> <p><b>Note:</b><br/>This element is not currently needed and is indicated here only as a placeholder for future legislation.</p> | C     | <p>true / false<br/>(Must be entered in xml as all lower case)</p> <p>This element is not currently needed and is indicated here only as a placeholder for future legislation.</p> | 5          | No Current Equivalent      |

## Appendix A – Field Values

### 1. Health Event Type Descriptions

| Health Event Type             | Code Value | Definition   |
|-------------------------------|------------|--|
| Add Dependent                 | ADP        | Add dependent for health coverage  |
| Delete Dependent              | DDP        | Delete a dependent from health coverage  |
| Cancel Coverage               | CCO        | Terminate health enrollment  |
| Change Health Plan            | CHP        | Change medical, dental (future provision), or vision (future provision) plan for the health enrollment   |
| Dependent Address Change      | DEC        | Update address information for existing dependents   |
| Change Premium Payment Method | CPP        | Direct Pay or Off-Pay status due to appointment events such as LOA & PI  |
| New Enrollment                | NEN        | New health enrollment  |
| Open Enrollment               | OEN        | Open Enrollment health elections   |
| Continued Enrollment          | COE        | Health enrollment coverage for the extended period between Active status and Retired status.   |
| Update Enrollment             | UEN        | Update address information for the subscriber; Update Medical Group assignments for health benefits  |
| COBRA New Enrollment          | CNE        | Continuation of health enrollment (under COBRA) due to cancel coverage based on events such as permanent separation, 23 year old dependent, or divorce |

## 2. Health Event Reason (Sorted by Health Event Types, Ascending)

| LONG NAME<br>(Event Reason)               | CODE<br>VALUES | HEALTH EVENT TYPE  |
|---|----------------|--------------------|
| Birth/placement                           | 200            | Add Dependent      |
| Court Order                               | 208            | Add Dependent      |
| Custody                                   | 202            | Add Dependent      |
| Domestic Partner Add                      | 215            | Add Dependent      |
| Domestic Partner Child Add                | 216            | Add Dependent      |
| Economically dependent                    | 203            | Add Dependent      |
| Loss of Coverage                          | 204            | Add Dependent      |
| Marriage                                  | 201            | Add Dependent      |
| Medically Disabled                        | 210            | Add Dependent      |
| New Contracting - Medically Disabled      | 218            | Add Dependent      |
| Off pay Open Enrollment                   | 207            | Add Dependent      |
| Return from Military Leave                | 205            | Add Dependent      |
| Special Enrollment Dependent              | 213            | Add Dependent      |
| Appeal denied                             | 507            | Cancel Coverage    |
| Cancel: Perm Separation                   | 515            | Cancel Coverage    |
| Cancel; PA/Sch Site Chg                   | 529            | Cancel Coverage    |
| Change in appt. outside b/u               | 501            | Cancel Coverage    |
| Insufficient Hours                        | 500            | Cancel Coverage    |
| Layoff Cancel                             | 516            | Cancel Coverage    |
| Military Leave                            | 534            | Cancel Coverage    |
| Off Pay Status Cancel                     | 533            | Cancel Coverage    |
| Reinstatement (Non-PERS)                  | 535            | Cancel Coverage    |
| Subscriber Death                          | 526            | Cancel Coverage    |
| Subscriber request                        | 505            | Cancel Coverage    |
| Subscriber Request - COBRA                | 536            | Cancel Coverage    |
| Time base/tenure chg                      | 502            | Cancel Coverage    |
| Update CBU Benefits                       | 836            | Cancel Coverage    |
| Association membership                    | 403            | Change Health Plan |
| Change Plan due to Eligibility ZIP Change | 412            | Change Health Plan |

| LONG NAME<br>(Event Reason)             | CODE<br>VALUES | HEALTH EVENT TYPE             |
|---|----------------|-------------------------------|
| Move                                    | 402            | Change Health Plan            |
| Off Pay during Open Enrollment          | 401            | Change Health Plan            |
| Out of association plan                 | 404            | Change Health Plan            |
| Special Enrollment - Change Health Plan | 405            | Change Health Plan            |
| Chg to deduct-FMLA                      | 715            | Change Premium Payment Method |
| Chg to deduct-Retirement                | 716            | Change Premium Payment Method |
| Chg to deduct-Return to Work            | 712            | Change Premium Payment Method |
| CSU Inactive                            | 708            | Change Premium Payment Method |
| Insufficient earnings                   | 709            | Change Premium Payment Method |
| LOA                                     | 704            | Change Premium Payment Method |
| Pending NDI                             | 710            | Change Premium Payment Method |
| PI/ off pay                             | 706            | Change Premium Payment Method |
| Suspension                              | 707            | Change Premium Payment Method |
| Worker Comp/Claim Pending               | 705            | Change Premium Payment Method |
| COBRA Death of Employee                 | 134            | COBRA New Enrollment          |
| COBRA Dep Cont-Sub on Medicare          | 135            | COBRA New Enrollment          |
| COBRA Div/Sep/Mv from Household         | 133            | COBRA New Enrollment          |
| COBRA Loss of Dependent Status          | 136            | COBRA New Enrollment          |
| COBRA Loss of Employment                | 132            | COBRA New Enrollment          |
| COBRA New Contract Agency Dep           | 140            | COBRA New Enrollment          |
| COBRA New Contract Agency Sub           | 139            | COBRA New Enrollment          |
| COBRA Reduction in Hours                | 131            | COBRA New Enrollment          |
| Pending Retirement                      | 119            | Continued Enrollment          |
| Pending Retirement - Deferred Retirees  | 169            | Continued Enrollment          |
| Re-enroll SES/PA FFPO Survivor          | 146            | Continued Enrollment          |
| 23 year old delete                      | 301            | Delete Dependent              |
| Change of custody                       | 312            | Delete Dependent              |
| Death of Dependent                      | 300            | Delete Dependent              |
| Divorce                                 | 302            | Delete Dependent              |
| Domestic Partner Child Term             | 319            | Delete Dependent              |
| Domestic Partner Term                   | 318            | Delete Dependent              |

| LONG NAME<br>(Event Reason)               | CODE<br>VALUES | HEALTH EVENT TYPE        |
|---|----------------|--------------------------|
| Enroll Own Right Dependent                | 304            | Delete Dependent         |
| Gains other coverage                      | 307            | Delete Dependent         |
| Ineligible dependent                      | 306            | Delete Dependent         |
| Legal separation                          | 308            | Delete Dependent         |
| Loss economic dependence                  | 310            | Delete Dependent         |
| Marriage of Dependent Child               | 303            | Delete Dependent         |
| Military - Del Dependent                  | 309            | Delete Dependent         |
| No longer certifiable                     | 305            | Delete Dependent         |
| Optional Delete                           | 311            | Delete Dependent         |
| Vacates household                         | 313            | Delete Dependent         |
| Address Update                            | 900            | Dependent Address Change |
| BU 06 PI Cadet New Enroll                 | 153            | New Enrollment           |
| Enroll < half time Emp                    | 148            | New Enrollment           |
| Enroll Own right Employees                | 108            | New Enrollment           |
| Late or Loss of Coverage (Emp)            | 101            | New Enrollment           |
| Layoff: Enroll Direct Pay                 | 123            | New Enrollment           |
| Military - New Enrollment                 | 103            | New Enrollment           |
| NC EE Enroll < half time Emp              | 150            | New Enrollment           |
| New Contracting Employee                  | 115            | New Enrollment           |
| New Contracting LOA                       | 118            | New Enrollment           |
| New contracting Survivor without Benefits | 163            | New Enrollment           |
| Off Pay during O/E                        | 111            | New Enrollment           |
| Off Pay eligible PI                       | 107            | New Enrollment           |
| Re-employment                             | 167            | New Enrollment           |
| Reinstatement                             | 102            | New Enrollment           |
| Return from Off Pay Status                | 160            | New Enrollment           |
| Special Enrollment Employees              | 129            | New Enrollment           |
| State Retiree - Dental Enrollment         | 166            | New Enrollment           |
| STRS Survivor No Allowance                | 149            | New Enrollment           |
| Surv Benefits Paid by ER                  | 145            | New Enrollment           |
| Survivor Without Benefits                 | 128            | New Enrollment           |



| LONG NAME<br>(Event Reason)              | CODE<br>VALUES | HEALTH EVENT TYPE   |
|--|----------------|---------------------|
| Time Base & Tenure                       | 100            | New Enrollment      |
| Time Base, Tenure, Hours                 | 106            | New Enrollment      |
| Enrolled into Flex Elect                 | 503            | Open Enrollment     |
| OE Cancel Coverage                       | 530            | Open Enrollment     |
| OE Enroll < half time Emp New Enrollment | 170            | Open Enrollment     |
| Open Enrollment Add Dep                  | 206            | Open Enrollment     |
| Open Enrollment Change Health Plan       | 400            | Open Enrollment     |
| Open Enrollment Delete Dependent         | 320            | Open Enrollment     |
| Open Enrollment Employees New Enrollment | 104            | Open Enrollment     |
| Recertification of Disabled Dependent    | 906            | Recertify Dependent |
| Cancel Eligibility Zip - Employer        | 481            | Update Enrollment   |
| Change Eligibility Zip - Employer        | 480            | Update Enrollment   |
| Change Medical Group                     | 904            | Update Enrollment   |
| Opt in Vesting                           | 908            | Update Enrollment   |
| Opt out Vesting                          | 909            | Update Enrollment   |
| Update Demographics                      | 905            | Update Enrollment   |

### 3. State Code Values (Sorted by Country, Ascending)

| LONG NAME              | CODE VALUE | COUNTRY | LONG NAME                 | CODE VALUE | COUNTRY |
|------------------------|------------|---------|---------------------------|------------|---------|
| Aguascalientes         | AG         | MEX     | Queretaro                 | QA         | MEX     |
| Baja California, Norte | BJ         | MEX     | Quintana Roo              | QR         | MEX     |
| Baja California, Sur   | BS         | MEX     | San Luis Potosi           | SL         | MEX     |
| Campeche               | CP         | MEX     | Sinaloa                   | SI         | MEX     |
| Chiapas                | CHI        | MEX     | Sonora                    | SO         | MEX     |
| Chihuahua              | CI         | MEX     | Tabasco                   | TA         | MEX     |
| Coahuila               | CU         | MEX     | Tamaulipas                | TM         | MEX     |
| Colima                 | CL         | MEX     | Tlaxcala                  | TL         | MEX     |
| Distrito Ferderal      | DF         | MEX     | Veracruz                  | VZ         | MEX     |
| Durango                | DG         | MEX     | Yucatan                   | YC         | MEX     |
| Guanajuato             | GJ         | MEX     | Zacatecas                 | ZT         | MEX     |
| Guerrero               | GR         | MEX     | Alabama                   | AL         | USA     |
| Hidalgo                | HG         | MEX     | Alaska                    | AK         | USA     |
| Jalisco                | JA         | MEX     | American Samoa            | AS         | USA     |
| Mexico                 | EM         | MEX     | Arizona                   | AZ         | USA     |
| Michoacan              | MH         | MEX     | Arkansas                  | AR         | USA     |
| Moreios                | MR         | MEX     | Armed Forces Europe       | AE         | USA     |
| Nayarit                | NA         | MEX     | Armed Forces Pacific      | AP         | USA     |
| NuevoLeon              | NL         | MEX     | Armed Forces the Americas | AA         | USA     |
| Oaxaca                 | OA         | MEX     | California                | CA         | USA     |
| Puebla                 | PU         | MEX     | Colorado                  | CO         | USA     |

| LONG NAME                      | CODE VALUE | COUNTRY | LONG NAME             | CODE VALUE | COUNTRY |
|--------------------------------|------------|---------|-----------------------|------------|---------|
| Delaware                       | DE         | USA     | Nevada                | NV         | USA     |
| District of Columbia           | DC         | USA     | New Hampshire         | NH         | USA     |
| Federated States of Micronesia | FM         | USA     | New Mexico            | NM         | USA     |
| Florida                        | FL         | USA     | New York              | NY         | USA     |
| Georgia                        | GA         | USA     | North Carolina        | NC         | USA     |
| Guam                           | GU         | USA     | North Dakota          | ND         | USA     |
| Hawaii                         | HI         | USA     | North Mariana Islands | MP         | USA     |
| Idaho                          | ID         | USA     | Ohio                  | OH         | USA     |
| Illinois                       | IL         | USA     | Oklahoma              | OK         | USA     |
| Indiana                        | IN         | USA     | Oregon                | OR         | USA     |
| Iowa                           | IA         | USA     | Palau                 | PW         | USA     |
| Kansas                         | KS         | USA     | Pennsylvania          | PA         | USA     |
| Kentucky                       | KY         | USA     | Puerto Rico           | PR         | USA     |
| Louisiana                      | LA         | USA     | Rhode Island          | RI         | USA     |
| Maine                          | ME         | USA     | South Carolina        | SC         | USA     |
| Marshall Islands               | MH         | USA     | South Dakota          | SD         | USA     |
| Maryland                       | MD         | USA     | Tennessee             | TN         | USA     |
| Massachusetts                  | MA         | USA     | Texas                 | TX         | USA     |
| Michigan                       | MI         | USA     | Utah                  | UT         | USA     |
| Minnesota                      | MN         | USA     | Vermont               | VT         | USA     |
| Mississippi                    | MS         | USA     | Virgin Islands        | VI         | USA     |
| Missouri                       | MO         | USA     | Virginia              | VA         | USA     |
| Montana                        | MT         | USA     | Washington            | WA         | USA     |
| Nebraska                       | NE         | USA     | West Virginia         | WV         | USA     |

| LONG NAME | CODE<br>VALUE | COUNTRY | LONG NAME | CODE<br>VALUE | COUNTRY |
|-----------|---------------|---------|-----------|---------------|---------|
| Wisconsin | WI            | USA     | Wyoming   | WY            | USA     |

#### 4. Country Code Values

| LONG NAME         | CODE VALUES | LONG NAME                  | CODE VALUES |
|-------------------|-------------|----------------------------|-------------|
| United States     | US          | Benin                      | BJ          |
| Canada            | CA          | Bermuda                    | BM          |
| Mexico            | MX          | Bhutan                     | BT          |
| Afghanistan       | AF          | Bolivia                    | BO          |
| Albania           | AL          | Bosnia-Herzegovina         | BA          |
| Algeria           | DZ          | Botswana                   | BW          |
| American Samoa    | AS          | Bouvet Island              | BV          |
| Andorra           | AD          | Brazil                     | BR          |
| Angola            | AO          | British Indian Ocean Terr  | IO          |
| Anguilla          | AI          | Brunei                     | BN          |
| Antarctica        | AQ          | Bulgaria                   | BG          |
| Antigua & Barbuda | AG          | Burkina Faso               | BF          |
| Argentina         | AR          | Burundi                    | BI          |
| Armenia           | AM          | Cambodia                   | KH          |
| Aruba             | AW          | Cameroon                   | CM          |
| Australia         | AU          | Cape Verde                 | CV          |
| Austria           | AT          | Cayman Islands             | KY          |
| Azerbaijan        | AZ          | Central African Republic   | CF          |
| Bahamas           | BS          | Chad                       | TD          |
| Bahrain           | BH          | Chile                      | CL          |
| Bangladesh        | BD          | China                      | CN          |
| Barbados          | BB          | Christmas Island (Pacific) | CX          |
| Belarus           | BY          | Cocos (Keeling) Islands    | CC          |
| Belgium           | BE          | Colombia                   | CO          |
| Belize            | BZ          | Comoros                    | KM          |

| LONG NAME                            | CODE VALUES | LONG NAME              | CODE VALUES |
|--------------------------------------|-------------|------------------------|-------------|
| Congo                                | CG          | Gabon                  | GA          |
| Cook Islands                         | CK          | Gambia                 | GM          |
| Costa Rica                           | CR          | Georgia                | GE          |
| Croatia                              | HR          | Germany                | DE          |
| Cuba                                 | CU          | Ghana                  | GH          |
| Cyprus                               | CY          | Gibraltar              | GI          |
| Czech Republic                       | CZ          | Greece                 | GR          |
| The Democratic Republic of the Congo | CD          | Greenland              | GL          |
| Denmark                              | DK          | Grenada                | GD          |
| Djibouti                             | DJ          | Guadeloupe             | GP          |
| Dominica                             | DM          | Guam                   | GU          |
| Dominican Republic                   | DO          | Guatemala              | GT          |
| Ecuador                              | EC          | Guernsey               | GG          |
| Egypt                                | EG          | Guinea                 | GN          |
| El Salvador                          | SV          | Guinea Bissau          | GW          |
| Equatorial Guinea                    | GQ          | Guyana                 | GY          |
| Eritrea                              | ER          | Haiti                  | HT          |
| Estonia                              | EE          | Heard Mcdonald Islands | HM          |
| Ethiopia                             | ET          | Honduras               | HN          |
| Falkland Islands                     | FK          | Hong Kong              | HK          |
| Faroe Islands                        | FO          | Hungary                | HU          |
| Fiji                                 | FJ          | Iceland                | IS          |
| Finland                              | FI          | India                  | IN          |
| France                               | FR          | Indonesia              | ID          |
| French Guiana                        | GF          | Iran                   | IR          |
| French Polynesia                     | PF          | Iraq                   | IQ          |

| LONG NAME     | CODE VALUES | LONG NAME            | CODE VALUES |
|---------------|-------------|----------------------|-------------|
| Ireland       | IE          | Madagascar           | MG          |
| Isle Of Man   | IM          | Malawi               | MW          |
| Israel        | IL          | Malaysia             | MY          |
| Italy         | IT          | Maldives             | MV          |
| Ivory Coast   | CI          | Mali                 | ML          |
| Jamaica       | JM          | Malta                | MT          |
| Jan Mayen     | SJ          | Marshall Islands     | MH          |
| Japan         | JP          | Martinique           | MQ          |
| Jersey        | JE          | Mauritania           | MR          |
| Jordan        | JO          | Mauritius            | MU          |
| Kazakhstan    | KZ          | Mayotte              | YT          |
| Kenya         | KE          | Micronesia           | FM          |
| Kiribati      | KI          | Moldova              | MD          |
| Kuwait        | KW          | Monaco               | MC          |
| Kyrgyzstan    | KG          | Mongolia             | MN          |
| Laos          | LA          | Montenegro           | ME          |
| Latvia        | LV          | Montserrat           | MS          |
| Lebanon       | LB          | Morocco              | MA          |
| Lesotho       | LS          | Mozambique           | MZ          |
| Liberia       | LR          | Myanmar              | MM          |
| Libya         | LY          | Namibia              | NA          |
| Liechtenstein | LI          | Nauru                | NR          |
| Lithuania     | LT          | Nepal                | NP          |
| Luxembourg    | LU          | Netherlands          | NL          |
| Macau         | MO          | Netherlands Antilles | AN          |
| Macedonia     | MK          | New Caledonia        | NC          |

| LONG NAME                | CODE VALUES | LONG NAME               | CODE VALUES |
|--------------------------|-------------|-------------------------|-------------|
| New Zealand              | NZ          | San Marino              | SM          |
| Nicaragua                | NI          | Sao Tome & Principe     | ST          |
| Niger                    | NE          | Saudi Arabia            | SA          |
| Nigeria                  | NG          | Senegal                 | SN          |
| Niue                     | NU          | Serbia                  | RS          |
| Norfolk Island           | NF          | Seychelles              | SC          |
| North Korea              | KP          | Sierra Leone            | SL          |
| Northern Mariana Islands | MP          | Singapore               | SG          |
| Norway                   | NO          | Slovakia                | SK          |
| Oman                     | OM          | Slovenia                | SI          |
| Pakistan                 | PK          | Solomon Islands         | SB          |
| Panama                   | PA          | Somalia                 | SO          |
| Papua New Guinea         | PG          | South Africa            | ZA          |
| Paraguay                 | PY          | Spain                   | ES          |
| Peru                     | PE          | Sri Lanka               | LK          |
| Philippines              | PH          | St Helena               | SH          |
| Pitcairn Island          | PN          | St Kitts & Nevis        | KN          |
| Poland                   | PL          | St Lucia                | LC          |
| Portugal                 | PT          | St Pierre & Miquelon    | PM          |
| Puerto Rico              | PR          | St Vincent & Grenadines | VC          |
| Qatar                    | QA          | Sudan                   | SD          |
| Republic Of South Korea  | KR          | Suriname                | SR          |
| Reunion                  | RE          | Swaziland               | SZ          |
| Romania                  | RO          | Sweden                  | SE          |
| Russia                   | RU          | Switzerland             | CH          |
| Rwanda                   | RW          | Syria                   | SY          |



| LONG NAME               | CODE VALUES | LONG NAME      | CODE VALUES |
|-------------------------|-------------|----------------|-------------|
| Taiwan                  | TW          | Western Sahara | EH          |
| Tajikistan              | TJ          | Western Samoa  | WS          |
| Tanzania                | TZ          | Yemen          | YE          |
| Thailand                | TH          | Zambia         | ZM          |
| Togo                    | TG          | Zimbabwe       | ZW          |
| Tokelau                 | TK          |                |             |
| Tonga                   | TO          |                |             |
| Trinidad and Tobago     | TT          |                |             |
| Tunisia                 | TN          |                |             |
| Turkey                  | TR          |                |             |
| Turkmenistan            | TM          |                |             |
| Turks & Caicos Islands  | TC          |                |             |
| Tuvalu                  | TV          |                |             |
| Uganda                  | UG          |                |             |
| Ukraine                 | UA          |                |             |
| United Arab Emirates    | AE          |                |             |
| United Kingdom          | GB          |                |             |
| Uruguay                 | UY          |                |             |
| Uzbekistan              | UZ          |                |             |
| Vanuatu                 | VU          |                |             |
| Vatican City            | VA          |                |             |
| Venezuela               | VE          |                |             |
| Vietnam                 | VN          |                |             |
| Virgin Islands(British) | VG          |                |             |
| Virgin Islands(U.S.)    | VI          |                |             |
| Wallis & FUTUNA         | WF          |                |             |

### County Code Values

| LONG NAME        | CODE VALUE | LONG NAME            | CODE VALUE |
|------------------|------------|----------------------|------------|
| 1 - Alameda      | 1          | 26 - Mono            | 51         |
| 2 - Alpine       | 3          | 27 - Monterey        | 53         |
| 3 - Amador       | 5          | 28 - Napa            | 55         |
| 4 - Butte        | 7          | 29 - Nevada          | 57         |
| 5 - Calaveras    | 9          | 30 - Orange          | 59         |
| 6 - Colusa       | 11         | 31 - Placer          | 61         |
| 7 - Contra Costa | 13         | 32 - Plumas          | 63         |
| 8 - Del Norte    | 15         | 33 - Riverside       | 65         |
| 9 - El Dorado    | 17         | 34 - Sacramento      | 67         |
| 10 - Fresno      | 19         | 35 - San Benito      | 69         |
| 11 - Glenn       | 21         | 36 - San Bernardino  | 71         |
| 12 - Humboldt    | 23         | 37 - San Diego       | 73         |
| 13 - Imperial    | 25         | 38 - San Francisco   | 75         |
| 14 - Inyo        | 27         | 39 - San Joaquin     | 77         |
| 15 - Kern        | 29         | 40 - San Luis Obispo | 79         |
| 16 - Kings       | 31         | 41 - San Mateo       | 81         |
| 17 - Lake        | 33         | 42 - Santa Barbara   | 83         |
| 18 - Lassen      | 35         | 43 - Santa Clara     | 85         |
| 19 - Los Angeles | 37         | 44 - Santa Cruz      | 87         |
| 20 - Madera      | 39         | 45 - Shasta          | 89         |
| 21 - Marin       | 41         | 46 - Sierra          | 91         |
| 22 - Mariposa    | 43         | 47 - Siskiyou        | 93         |
| 23 - Mendocino   | 45         | 48 - Solano          | 95         |
| 24 - Merced      | 47         | 49 - Sonoma          | 97         |
| 25 - Modoc       | 49         | 50 - Stanislaus      | 99         |

| LONG NAME     | CODE VALUE | LONG NAME                    | CODE VALUE |
|---------------|------------|------------------------------|------------|
| 51 - Sutter   | 101        | 1st District (SF)            | 100        |
| 52 - Tehama   | 103        | 2nd District (LA)            | 110        |
| 53 - Trinity  | 105        | 2nd Sub District (Ventura)   | 117        |
| 54 - Tulare   | 107        | 3rd District (Sac)           | 120        |
| 55 - Tuolumne | 109        | 4th District (San Diego)     | 130        |
| 56 - Ventura  | 111        | 4th Sub District (Riverside) | 131        |
| 57 - Yolo     | 113        | 4th Sub District (Santa Ana) | 132        |
| 58 - Yuba     | 115        | 5th District (Fresno)        | 140        |
| Out of State  | 0          | 6th District (Santa Clara)   | 150        |

## 5. Permissive Event Reasons

| HEALTH EVENT REASON                       | HEALTH EVENT REASON CODE | HEALTH EVENT                  |
|---|--------------------------|-------------------------------|
| Custody                                   | 202                      | Add Dependent                 |
| Domestic Partner Add                      | 215                      | Add Dependent                 |
| Domestic Partner Child Add                | 216                      | Add Dependent                 |
| Economically dependent                    | 203                      | Add Dependent                 |
| Loss of Coverage                          | 204                      | Add Dependent                 |
| Marriage                                  | 201                      | Add Dependent                 |
| Medically Disabled                        | 210                      | Add Dependent                 |
| New Contracting - Medically Disabled      | 218                      | Add Dependent                 |
| Off pay Open Enrollment                   | 207                      | Add Dependent                 |
| Return from Military Leave                | 205                      | Add Dependent                 |
| Special Enrollment Dependent              | 213                      | Add Dependent                 |
| Cancel: Perm Separation                   | 515                      | Cancel Coverage               |
| Military Leave                            | 534                      | Cancel Coverage               |
| Off Pay Status Cancel                     | 533                      | Cancel Coverage               |
| Subscriber request                        | 505                      | Cancel Coverage               |
| Subscriber Request - COBRA                | 536                      | Cancel Coverage               |
| Association membership                    | 403                      | Change Health Plan            |
| Change Plan due to Eligibility ZIP Change | 412                      | Change Health Plan            |
| Move                                      | 402                      | Change Health Plan            |
| Off Pay during Open Enrollment            | 401                      | Change Health Plan            |
| Special Enrollment - Change Health Plan   | 405                      | Change Health Plan            |
| Chg to deduct-FMLA                        | 715                      | Change Premium Payment Method |
| Chg to deduct-Return to Work              | 712                      | Change Premium Payment Method |
| CSU Inactive                              | 708                      | Change Premium Payment Method |
| Insufficient earnings                     | 709                      | Change Premium Payment Method |
| LOA                                       | 704                      | Change Premium Payment Method |

| HEALTH EVENT REASON                    | HEALTH EVENT REASON CODE | HEALTH EVENT                  |
|--|--------------------------|-------------------------------|
| Pending NDI                            | 710                      | Change Premium Payment Method |
| PI/ off pay                            | 706                      | Change Premium Payment Method |
| Suspension                             | 707                      | Change Premium Payment Method |
| Worker Comp/Claim Pending              | 705                      | Change Premium Payment Method |
| COBRA Death of Employee                | 134                      | COBRA New Enrollment          |
| COBRA Dep Cont-Sub on Medicare         | 135                      | COBRA New Enrollment          |
| COBRA Div/Sep/Mv from Household        | 133                      | COBRA New Enrollment          |
| COBRA Loss of Dependent Status         | 136                      | COBRA New Enrollment          |
| COBRA Loss of Employment               | 132                      | COBRA New Enrollment          |
| COBRA New Contract Agency Dep          | 140                      | COBRA New Enrollment          |
| COBRA New Contract Agency Sub          | 139                      | COBRA New Enrollment          |
| COBRA Reduction in Hours               | 131                      | COBRA New Enrollment          |
| Pending Retirement                     | 119                      | Continued Enrollment          |
| Pending Retirement - Deferred Retirees | 169                      | Continued Enrollment          |
| Re-enroll SES/PA FFPO Survivor         | 146                      | Continued Enrollment          |
| Change of custody                      | 312                      | Delete Dependent              |
| Gains other coverage                   | 307                      | Delete Dependent              |
| Ineligible dependent                   | 306                      | Delete Dependent              |
| Legal separation                       | 308                      | Delete Dependent              |
| Military - Del Dependent               | 309                      | Delete Dependent              |
| Optional Delete                        | 311                      | Delete Dependent              |
| Vacates household                      | 313                      | Delete Dependent              |
| BU 06 PI Cadet New Enroll              | 153                      | New Enrollment                |
| Enroll < half time Emp                 | 148                      | New Enrollment                |
| Enroll Own right Employees             | 108                      | New Enrollment                |
| Late or Loss of Coverage (Emp)         | 101                      | New Enrollment                |
| Layoff: Enroll Direct Pay              | 123                      | New Enrollment                |
| Military - New Enrollment              | 103                      | New Enrollment                |

| HEALTH EVENT REASON                       | HEALTH EVENT REASON CODE | HEALTH EVENT      |
|---|--------------------------|-------------------|
| NC EE Enroll < half time Emp              | 150                      | New Enrollment    |
| New Contracting Employee                  | 115                      | New Enrollment    |
| New Contracting LOA                       | 118                      | New Enrollment    |
| New contracting Survivor without Benefits | 163                      | New Enrollment    |
| Off Pay during O/E                        | 111                      | New Enrollment    |
| Off Pay eligible PI                       | 107                      | New Enrollment    |
| Re-employment                             | 167                      | New Enrollment    |
| Reinstatement                             | 102                      | New Enrollment    |
| Return from Off Pay Status                | 160                      | New Enrollment    |
| Special Enrollment Employees              | 129                      | New Enrollment    |
| State Retiree - Dental Enrollment         | 166                      | New Enrollment    |
| STRS Survivor No Allowance                | 149                      | New Enrollment    |
| Survivor Without Benefits                 | 128                      | New Enrollment    |
| Time Base & Tenure                        | 100                      | New Enrollment    |
| Time Base, Tenure, Hours                  | 106                      | New Enrollment    |
| OE Cancel Coverage                        | 530                      | Open Enrollment   |
| OE Enroll < half time Emp New Enrollment  | 170                      | Open Enrollment   |
| Open Enrollment Add Dep                   | 206                      | Open Enrollment   |
| Open Enrollment Change Health Plan        | 400                      | Open Enrollment   |
| Open Enrollment Delete Dependent          | 320                      | Open Enrollment   |
| Open Enrollment Employees New Enrollment  | 104                      | Open Enrollment   |
| Cancel Eligibility Zip - Employer         | 481                      | Update Enrollment |
| Change Eligibility Zip - Employer         | 480                      | Update Enrollment |

## Appendix B – Comparison of New Field Values to Legacy (ACES) Field Values

| # | my CalPERS Field Name                | Definition  | Equivalent ACES Field Name        | Change? |
|---|--------------------------------------|---|-----------------------------------|---------|
| 1 | <b>Employer's CalPERS ID</b>         | A unique 10-digit identifier created by the new system, Once the employer becomes an approved business partner; the new system will create this unique identifier. This identifier replaces the Employer/Unit Code. | <b>Participant / PERS ER Code</b> | Yes     |
| 2 | <b>Health Event Type</b>             | The health event type   | <b>Transaction Type</b>           | Yes     |
| 3 | <b>Health Event Reason</b>           | The reasons for health enrollment. These are categorized by Health Event Types  | <b>Health Event Reason Code</b>   | Yes     |
| 4 | <b>Unique Transaction Identifier</b> | The Unique Transaction Identifier is a memo field to record text.<br><br>Employers uploading files can use this field to record a text memo for tracking purposes.  | <b>Transaction #</b>              | No      |
| 5 | <b>Event Date</b>                    | The date that the health event occurred.  | <b>Event Date</b>                 | No      |
| 6 | <b>Received Date</b>                 | The date that the employer was notified of the health event.  | <b>HBO Received Date</b>          | No      |
| 7 | <b>Apply Change To Medical</b>       | Indicates that the change/enrollment is applicable to medical benefit type.   | <b>Non-existent</b>               | Yes     |

| #  | my CalPERS Field Name         | Definition  | Equivalent ACES Field Name | Change? |
|----|-------------------------------|---|----------------------------|---------|
| 8  | <b>Apply Change To Dental</b> | If dental becomes an option in the future, this data element indicates the change/enrollment applies to the dental benefit. | <b>Non-existent</b>        | Yes     |
| 9  | <b>Apply Change To Vision</b> | If vision becomes an option in the future, this data element indicates the change/enrollment applies to vision benefit.     | <b>Non-existent</b>        | Yes     |
| 10 | <b>Rescind Indicator</b>      | Indicates whether a health enrollment transaction, with a future date, should be rescinded.                                 | <b>Non-existent</b>        | Yes     |
| 11 | <b>Rescind Reason</b>         | Reason why a health enrollment transaction is rescinded.  | <b>Non-existent</b>        | Yes     |
| 12 | <b>Rescind Notes</b>          | Notes about the reason for rescission.  | <b>Non-existent</b>        | Yes     |
| 13 | <b>Agency Code</b>            | The agency within the State the employee works for.   | <b>Non-existent</b>        | Yes     |
| 14 | <b>Subscriber Status FERP</b> | Indicator of whether the primary subscriber has FERP status.  | <b>Non-existent</b>        | Yes     |
| 15 | <b>FERP Status Begin Date</b> | The Begin Date of the primary subscriber's FERP status.   | <b>Non-existent</b>        | Yes     |
| 16 | <b>FERP Status End Date</b>   | The End Date of the primary subscriber's FERP status.   | <b>Non-existent</b>        | Yes     |



| #  | myCalPERS Field Name          | Definition  | Equivalent ACES Field Name | Change? |
|----|-------------------------------|---|----------------------------|---------|
| 17 | <b>Appointment ID</b>         | <p>This represents the position into which the employee was hired.</p> <p>CalPERS will generate and store Appointment ID for the participant at the time of enrollment. If the employee has been hired into a new job for an existing appointment, this ID can be reported by the employer (e.g., employee switches from being a janitor to bus driver) to identify the employee.</p> | <b>Non-existent</b>        | Yes     |
| 18 | <b>Person Identifier Type</b> | Type of unique person identifier.   | <b>Non-existent</b>        | Yes     |
| 19 | <b>Person Identifier</b>      | The unique identifier available for the person that is provided.  | <b>SSN</b>                 | Yes     |
| 20 | <b>New SSN</b>                | The new SSN is a correction to the Social Security Number   | <b>Non-existent</b>        | Yes     |
| 21 | <b>Original Hire Date</b>     | The first date of hire for this employee at this employer.  | <b>Non-existent</b>        | Yes     |
| 22 | <b>CBU</b>                    | The collective bargaining unit representing the employee  | <b>Non-existent</b>        | Yes     |
| 23 | <b>First Name</b>             | The person's first name.  | <b>First Name</b>          | No      |
| 24 | <b>Middle Name</b>            | The person's middle name.   | <b>Middle Name</b>         | No      |
| 25 | <b>Last Name</b>              | The person's last name.   | <b>Last Name</b>           | Yes     |
| 26 | <b>Gender</b>                 | The person's gender.  | <b>Gender</b>              | No      |
| 27 | <b>Birth Date</b>             | The person's date of birth.   | <b>Date of Birth</b>       | No      |
| 28 | <b>Suffix</b>                 | The person's suffix.  | <b>Name Suffix</b>         | Yes     |

| #  | my CalPERS Field Name                   | Definition  | Equivalent ACES Field Name          | Change? |
|----|---|---|-------------------------------------|---------|
| 29 | <b>Address Type</b>                     | Types of address.   | <b>Addr Type</b>                    | No      |
| 30 | <b>Use Address for Health</b>           | Indicates that the person's address should be used for health enrollment.                         | <b>Non-existent</b>                 | Yes     |
| 31 | <b>Health Eligibility ZIP Code Type</b> | The type of Zip Code used to determine health eligibility.  | <b>Eligibility ZIP Type</b>         | No      |
| 32 | <b>Health Eligibility ZIP Code</b>      | The health eligibility Zip Code.  | <b>Eligibility ZIP</b>              | No      |
| 33 | <b>County</b>                           | The county the employee designates for health eligibility.  | <b>Non-existent</b>                 | Yes     |
| 34 | <b>Address 1</b>                        | The first address line.   | <b>Alt Address Line</b>             | No      |
| 35 | <b>Address 2</b>                        | The second address line.  | <b>Alt Address Line</b>             | No      |
| 36 | <b>City</b>                             | The city.   | <b>City</b>                         | No      |
| 37 | <b>State</b>                            | The state.  | <b>State</b>                        | No      |
| 38 | <b>ZIP Code 5</b>                       | The Zip or postal code.   | <b>ZIP Code 5</b>                   | Yes     |
| 39 | <b>ZIP Code 4</b>                       | The Zip or postal code.   | <b>ZIP Code 4<br/>ZIP Code 2</b>    | Yes     |
| 40 | <b>Country</b>                          | The country.  | <b>Country</b>                      | No      |
| 41 | <b>Province/Territory</b>               | The province or territory.  | <b>Province / Territory</b>         | No      |
| 42 | <b>Postal Code</b>                      | The international postal code.  | <b>Non-existent</b>                 | Yes     |
| 43 | <b>Qualifying Person ID Type</b>        | The type of unique identifier for the member that qualifies the subscriber for health enrollment. | <b>Non-existent</b>                 | Yes     |
| 44 | <b>Qualifying Person ID</b>             | The unique identifier of the member who qualifies the subscriber for health enrollment.           | <b>Qualifying SSN</b>               | No      |
| 45 | <b>Permanent Separation Date</b>        | Last day of a qualifying individual's employment.   | <b>Participant / Effective Date</b> | No      |
| 46 | <b>Retirement Date</b>                  | Retirement date of the qualifying individual  | <b>Non-existent</b>                 | Yes     |
| 47 | <b>First Name</b>                       | The employee's first name.  | <b>First Name</b>                   | No      |

| #  | my CalPERS Field Name                     | Definition  | Equivalent ACES Field Name                 | Change? |
|----|---|---|--|---------|
| 48 | <b>Middle Name</b>                        | The employee's middle name.   | <b>Middle Name</b>                         | No      |
| 49 | <b>Last Name</b>                          | The employee's last name.   | <b>Last Name</b>                           | Yes     |
| 50 | <b>Gender</b>                             | The employee's gender.  | <b>Gender</b>                              | No      |
| 51 | <b>Birth Date</b>                         | The employee's date of birth.   | <b>Birth Date</b><br><b>New Birth Date</b> | No      |
| 52 | <b>Eligibility Basis</b>                  | The basis for COBRA eligibility.  | <b>Eligibility Basis</b>                   | No      |
| 53 | <b>Original Cobra Start Date</b>          | The first day of COBRA health enrollment coverage.  | <b>COBRA Start Date</b>                    | No      |
| 54 | <b>Affiliated Association</b>             | The affiliated association of the qualifying individual.                                    | <b>Non-existent</b>                        | Yes     |
| 55 | <b>Medical Plan Selection</b>             | Used to select a medical plan.  | <b>Plan Code</b>                           | No      |
| 56 | <b>Dental Plan Selection</b>              | Used to select a dental plan.   | <b>Non-existent</b>                        | Yes     |
| 57 | <b>Vision Plan Selection</b>              | Used to select a vision plan.   | <b>Non-existent</b>                        | Yes     |
| 58 | <b>Dependent Identifier Type</b>          | The unique identifier available for the dependent that is provided.                         | <b>Non-existent</b>                        | Yes     |
| 59 | <b>Dependent Identifier</b>               | The unique dependent identifier, as specified by Identifier Type field.                     | <b>Dependent / SSN</b>                     | No      |
| 60 | <b>Dependent Gender</b>                   | The dependent's gender.   | <b>Dependent / Gender</b>                  | No      |
| 61 | <b>Dependent DOB</b>                      | The dependent's date of birth.  | <b>Dependent / DOB</b>                     | No      |
| 62 | <b>Dependent First Name</b>               | The dependent's first name.   | <b>Dependent / First Name</b>              | No      |
| 63 | <b>Dependent Middle Name</b>              | The dependent's middle name.  | <b>Dependent / Middle Name</b>             | No      |
| 64 | <b>Dependent Last Name</b>                | The dependent's last name.  | <b>Dependent / Last Name</b>               | Yes     |
| 65 | <b>Dependent Suffix</b>                   | The dependent's suffix.   | <b>Dependent / Name Suffix</b>             | No      |
| 66 | <b>Date of Marriage/Partnership</b>       | The date the dependent became a spouse/domestic partner of the primary subscriber.          | <b>Event Date</b>                          | No      |
| 67 | <b>Address Same as Primary Subscriber</b> | Indicator of whether the dependent's address is the same as that of the primary subscriber. | <b>Non-existent</b>                        | Yes     |

| #  | myCalPERS Field Name                | Definition   | Equivalent ACES Field Name                  | Change? |
|----|-------------------------------------|--|---|---------|
| 68 | <b>Dependent Address Type</b>       | The dependent's types of address.  | <b>Non-existent</b>                         | Yes     |
| 69 | <b>Dependent Address 1</b>          | The first address line of the dependent's address.                               | <b>Non-existent</b>                         | Yes     |
| 70 | <b>Dependent Address 2</b>          | The second address line of the dependent's address.                              | <b>Non-existent</b>                         | Yes     |
| 71 | <b>Dependent Address 3</b>          | The third address line of the dependent's address.                               | <b>Non-existent</b>                         | Yes     |
| 72 | <b>Dependent City</b>               | The city of the dependent's address.   | <b>Non-existent</b>                         | Yes     |
| 73 | <b>Dependent State</b>              | The state of the dependent's address.  | <b>Non-existent</b>                         | Yes     |
| 74 | <b>Dependent ZIP Code 5</b>         | The 5-digit ZIP or postal code of the dependent's address.                       | <b>Non-existent</b>                         | Yes     |
| 75 | <b>Dependent ZIP Code 4</b>         | The 4 or 2 additional digits of a ZIP or postal code of the dependent's address. | <b>Non-existent</b>                         | Yes     |
| 76 | <b>Dependent Country</b>            | The country of the dependent's address.  | <b>Non-existent</b>                         | Yes     |
| 77 | <b>Dependent Province/Territory</b> | The province or territory of the dependent's address.                            | <b>Non-existent</b>                         | Yes     |
| 78 | <b>Dependent Postal Code</b>        | The international postal code of dependent                                       | <b>Non-existent</b>                         | Yes     |
| 79 | <b>Dependent Relationship</b>       | The dependent's relationship to the primary subscriber.                          | <b>Dependent / Legacy Relationship Code</b> | No      |
| 80 | <b>Dependent Type</b>               | The type of dependent.   | <b>Non-existent</b>                         | Yes     |
| 81 | <b>Disabled Dependent Indicator</b> | Indicates if the added dependent is a disabled dependent child.                  | <b>Non-existent</b>                         | Yes     |

| #  | myCalPERS Field Name                                 | Definition  | Equivalent ACES Field Name | Change? |
|----|--|---|----------------------------|---------|
| 82 | <b>Disabled Dependent Confirmation Indicator</b>     | Indicates that the employer understands the disabled dependent enrollment is not confirmed until review by CalPERS. | <b>Non-existent</b>        | Yes     |
| 83 | <b>Economically Dependent Confirmation Indicator</b> | Indicates if the economically dependent child has been validated  | <b>Non-existent</b>        | Yes     |
| 84 | <b>Dependent Acquired Date</b>                       | The date that the economically child is acquired by the subscriber  | <b>Non-existent</b>        | Yes     |
| 85 | <b>Apply to Medical</b>                              | Indicates if the enrollment transaction should be applied to medical.   | <b>Non-existent</b>        | Yes     |
| 86 | <b>Apply to Dental</b>                               | Indicates if the enrollment transaction should be applied to dental.  | <b>Non-existent</b>        | Yes     |
| 87 | <b>Apply to Vision</b>                               | Indicates if the enrollment transaction should be applied to vision.  | <b>Non-existent</b>        | Yes     |